

MEROMORPHIC EXTENSION OF L -FUNCTIONS OF ANOSOV FLOWS AND PROFINITE GRAPHS

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Introduction

In the preceding paper [3] T. Sunada and the author introduced L -functions of certain classes of graphs and dynamical systems. Applying number theoretical argument we ([1], [3], [4]) obtained some results about homology classes of closed orbits of Anosov flows; the density theorem of Chebotarev's type, equidistribution theorem and so on. In this note we consider the meromorphic region of these L -functions.

Let $\varphi_t : X \rightarrow X$ be an Anosov flow on a compact Riemannian manifold X whose non-wondering set coincides with the whole space. Given a unitary representation $\rho: \pi_1(X) \rightarrow U(N)$, we define the L -function of φ_t associated with ρ by

$$(1) \quad L_{\varphi_t}(s; \rho) = \prod_p \frac{1}{\det \{I - \rho(\langle p \rangle) \exp(-s l(p))\}},$$

where p runs over all φ_t -prime closed orbits, $l(p)$ is the period of p and $\langle p \rangle$ is an element of $\pi_1(X)$ whose conjugacy class corresponds to the free homotopy class of p . When $\Re s$ is greater than the topological entropy $h = h(\varphi_t)$ of the flow φ_t , the right hand side of (1) converges absolutely, hence $L_{\varphi_t}(s; \rho)$ is holomorphic on $\Re s > h$. We know moreover that $L_{\varphi_t}(s; \rho)$ has a meromorphic extension to some neighborhood of $\Re s \geq h$ (see [3]).

We here give more information on the meromorphic domain of $L_{\varphi_t}(s; \rho)$. For the special case of the trivial representation $\rho = \mathbb{1}$, Pollicott [12] shows that the zeta function $\zeta_{\varphi_t}(s) = L_{\varphi_t}(s; \mathbb{1})$ extends meromorphically to a region $\Re s > h - \varepsilon$ for some $\varepsilon > 0$. We extend his proof to cases of general representations and show that there exists $\delta > 0$ such that for every unitary representation ρ the L -function $L_{\varphi_t}(s; \rho)$ extends non-zero meromorphically to the domain $\Re s > h - \delta$.

When φ_t is the geodesic flow on the unit tangent bundle of a manifold of constant

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negative curvature, the Selberg trace formula implies that L -functions extend to the entire complex plane. But in general, we have no idea to explain L -functions by trace formulas. We therefore use twisted Ruelle operators acting Banach spaces of Lipschitz continuous sections of flat bundles over a profinite graph. Associated with a continuous unitary representation $\rho: \pi_1(V, E) \rightarrow U(N)$ of the fundamental group of a profinite graph (V, E) with the length function $l: E \rightarrow R_+$, the L -function is defined in the same way as (1):

$$L_l(s; \rho) = \prod_c 1 / \det \{ I - \rho(\langle c \rangle) \exp(-s l(c)) \},$$

where c runs over all prime cycles on (V, E) and $l(c) = \sum_{i=1}^n l(e_i)$ for $c = (e_1, \dots, e_n)$. Since it is interpreted by what we may call the trace of a twisted Ruelle operator, an estimate about the essential spectral radius implies that it has a non-zero meromorphic extension on $\Re s > h - \delta$. We can get our assertion by use of Bowen's symbolic dynamics.

We use terminology and some notations given in [3] without any explanation, the reader should refer it for some basic definitions and results.

§1. Profinite graphs and twisted Ruelle operators

Given graphs (V_n, E_n) , $n \geq 1$, and morphisms of graphs $\omega_n: (V_n, E_n) \rightarrow (V_{n-1}, E_{n-1})$ we define

$$V = \varprojlim V_n = \left\{ \xi = (\xi_n) \in \prod_{n=1}^{\infty} V_n \mid \omega_n(\xi_n) = \xi_{n-1} \right\},$$

$$E = \varprojlim E_n = \left\{ (\xi, \eta) \in V \times V \mid (\xi_n, \eta_n) \in E_n \right\}.$$

This new graph (V, E) is called as a profinite graph if the following conditions hold;

- P0) each (V_n, E_n) is a finite graph,
- P1) the map $\omega_n: V_n \rightarrow V_{n-1}$ is surjective,
- P2) the map of origine $o: E_n \rightarrow V_n$ and terminus $t: E_n \rightarrow V_n$ are surjective,
- P3) $\omega_n: o^{-1}(v) \rightarrow o^{-1}(\omega_n(v))$ is surjective for every $v \in V_m$,
- P4) if $\omega_n(e) = \omega_n(e')$, $e, e' \in E_m$, then $t(e) = t(e')$.

Let $\rho: \pi_1(V, E) = \varprojlim \pi_1(V_n, E_n) \rightarrow U(N)$ be a continuous unitary representation of the fundamental group of a profinite graph (V, E) . There are n_0 and $\rho_0: \pi_1(V_{n_0}, E_{n_0})$

$\rightarrow U(N)$ such that ρ is factored as $\rho = \rho_o \circ \text{Proj} : \pi_1(V, E) \rightarrow \pi_1(V_{n_o}, E_{n_o}) \rightarrow U(N)$. Without loss of generality we may assume $n_o = 1$. We can construct a locally distance preserving normal covering map $\pi : (\hat{V}, \hat{E}, d_\theta) \rightarrow (V, E, d_\theta)$ with covering transformation group $\pi_1(V_1, E_1)$; here d_θ ($0 < \theta < 1$) denotes the distance function defined by

$$d_\theta(\xi, \eta) = \theta \sup \{n \mid \xi_n = \eta_n\} \text{ on } V,$$

$$d_\theta(e, e') = \theta \sup \{n \mid e_n = e'_n\} \text{ on } E,$$

and so on. In this paper we suppose (V, E, d_θ) is irreducible.

Let $C_\theta^1(E)$ denote the Banach space of Lipschitz continuous complex valued functions with the norm $\|f\|_\theta = \|f\|_\infty + \text{Lip}_\theta(f)$, here

$$\text{Lip}_\theta(f) = \sup \left\{ \frac{|f(e) - f(e')|}{d_\theta(e, e')} \mid e \neq e' \right\}.$$

Given $f \in C_\theta^1(E)$ we define the operator $\mathcal{L}_f : C^0(\hat{V}, C^N) \rightarrow C^0(\hat{V}, C^N)$ on the space of all C^N -valued continuous functions on \hat{V} by

$$\mathcal{L}_f g(\xi) = \sum_{e \in \hat{E}: \partial(\Phi) = e} f(\pi(e)) g(\ell e).$$

Since it leaves invariant the subspace $C_\theta^1(\hat{V}, \rho)$ of all Lipschitz continuous functions g on \hat{V} with $g(\gamma\xi) = \rho(\gamma)g(\xi)$ for every $\gamma \in \pi_1(V_1, E_1)$ and $\xi \in \hat{V}$, we can set

$$\mathcal{L}_{f, \rho} = \mathcal{L}_f \Big|_{C_\theta^1(\hat{V}, \rho)} : C_\theta^1(\hat{V}, \rho) \rightarrow C_\theta^1(\hat{V}, \rho),$$

and call the twisted Ruelle operator associated with ρ . We [3] study its spectra and get the following result.

PROPOSITION 1. (1) *If $f \in C_\theta^1(E)$ is positive valued then the Ruelle operator $\mathcal{L}_{f, 1}$ associated with the trivial representation has a maximal simple positive eigenvalue $\lambda(f)$ with a positive eigenfunction.*

(2) *If $f \in C_\theta^1(E)$ is nowhere vanishing, every spectrum λ of $\mathcal{L}_{f, \rho}$ satisfies $|\lambda| \leq \lambda(|f|)$.*

For a positive valued function $f \in C_\theta^1(E)$, we define the continuous transformation G_f on the compact space of all Borel probability measures on V by

$$G_f \mu = \left(\int_V \mathcal{L}_{f, 1} 1 \, d\mu \right)^{-1} \cdot \left(\mathcal{L}_{f, 1} \right)^* \mu.$$

Using the theorem of Schauder-Tychonoff we get a measure μ_f with $G_f(\mu_f) = \mu_f$. If one choose a positive eigenfunction u of $\mathcal{L}_{f,1}$ associated with $\lambda(f)$, then the equality

$$\lambda(f) \int u d\mu_f = \int \mathcal{L}_{f,1} u d\mu_f = \int \mathcal{L}_{f,1} 1 d\mu_f \cdot \int u d\mu_f$$

leads us to $(\mathcal{L}_{f,1})^* \mu_f = \lambda(f) \mu_f$. In particular, μ_f is a $\mathcal{L}_{f,1}$ -invariant measure, provided $f \in C^1_\theta(E)$ is positive valued and $\mathcal{L}_f 1 = 1$.

Let $V = V^1 \cup \dots \cup V^\nu$ denote the decomposition into primitive parts and for $\nu \in V_m$ set

$$C(\nu) = \{\xi \in V \mid \xi_m = \nu\}.$$

For every positive valued $f \in C^1_\theta(E)$ with $\mathcal{L}_f 1 = 1$, we can conclude $\mu_f(V^j) = \nu^{-1}$ and $\mu_f(C(\nu)) > 0$ in the following way. Permuting the indices we may assume that $\ell(e) \in V^{j+1}$ whenever $\alpha(e) \in V^j$, $j=1, \dots, \nu$, modulo ν . Since $\mathcal{L}_f 1 = 1$ we get

$$\mu_f(V^j) = \int \delta_j d\mu_f = \int \mathcal{L}_{f,1} \delta_j d\mu_f = \int \delta_{j+1} d\mu_f = \mu_f(V^{j+1}),$$

which leads us to the first assertion, where $\delta_j \in C^1(V)$ is the characteristic function of V^j . To show the second, we should notice that if V^j contains $C(\nu)$ then for sufficiently large n there is a $n\nu$ -step path c with $\alpha(c) = \xi$ and $\ell(c) \in C(\nu)$ for each $\xi \in V^j$. We therefore get

$$\mu_f(C(\nu)) = \int \delta_\nu d\mu_f = \int \mathcal{L}_{f,1}^{n\nu} \delta_\nu d\mu_f \geq (\inf f)^{n\nu} \int \delta_j d\mu_f > 0,$$

where δ_ν is the characteristic function of $C(\nu)$.

§2. The essential spectral radius of twisted Ruelle operators

In this section we concern with isolated eigenvalues of twisted Ruelle operators. We first show the following.

PROPOSITION 2. *If $f \in C_b^1(E)$ is nowhere vanishing, the essential spectral radius of $\mathcal{L}_{f,\rho}$ is not greater than $\theta\lambda(|f|)$. Hence every spectrum λ of $\mathcal{L}_{f,\rho}$ with $\theta\lambda(|f|) < |\lambda| \leq \lambda(|f|)$ is an eigenvalue of finite multiplicity.*

Given a positive valued $f \in C_b^1(E)$ with $\mathcal{L}_f 1=1$ we define the average $E_m(g;f) : \hat{V} \rightarrow C^N$ of $g \in C_b^1(\hat{V},\rho)$ on m -stage by

$$E_m(g;f) = \left(\int \delta_{(\pi_m)_*} d\mu_f \right)^{-1} \int g_{\xi_m} d\mu_f.$$

Here the function $g_{\hat{v}} : V \rightarrow C^N$, $\hat{v} \in \hat{V}_m$ is defined by

$$g_{\hat{v}}(\eta) = \begin{cases} g(\hat{\eta}) & \text{if } \eta_m = \pi_m(\hat{v}) \\ 0 & \text{otherwise,} \end{cases}$$

where $\hat{\eta}$ is the element of \hat{V} with $\hat{\eta}_m = \hat{v}$ and $\pi(\hat{\eta}) = \eta$, and $\pi_m : \hat{V}_m \rightarrow V_m$ is the covering map induced by π . As $E_m(g;f)$ is m -locally constant (i.e. $E_m(g;f)(\xi) = E_m(g;f)(\xi')$ if $\xi_m = \xi'_m$), one can easily check that it is an element of $C_b^1(\hat{V},\rho)$ and

$$\|g - E_m(g;f)\|_{\infty} \leq \theta^m \text{Lip}_{\theta}(g),$$

$$\text{Lip}_{\theta}(g - E_m(g;f)) \leq 2 \text{Lip}_{\theta}(g).$$

We now prove Proposition 2. By the scaling transformation of f by $\lambda(|f|)$ and an associated positive eigenfunction we may assume $\mathcal{L}_f 1=1$. Since the image of $C_b^1(\hat{V},\rho)$ by the operator $E_m(\cdot;|f|) : C_b^1(\hat{V},\rho) \rightarrow C_b^1(\hat{V},\rho)$ is a finite dimensional subspace, the operator $\mathcal{L}_{f,\rho}^m \circ E_m(\cdot;|f|)$ is compact. By using the estimate

$$(2) \quad \begin{aligned} \|\mathcal{L}_{f,\rho} g\|_{\infty} &\leq \|g\|_{\infty} \\ \text{Lip}_{\theta}(\mathcal{L}_{f,\rho}^m g) &\leq C \|g\|_{\infty} + \theta^m \text{Lip}_{\theta}(g) \end{aligned}$$

(see Lemma3-4 [3]), we have

$$\begin{aligned} &\left\| \left(\mathcal{L}_{f,\rho}^m - \mathcal{L}_{f,\rho}^m \circ E_m(\cdot;|f|) \right) (g) \right\|_{\infty} \\ &\leq \|g - E_m(g;|f|)\|_{\infty} \leq \theta^m \text{Lip}_{\theta}(g), \end{aligned}$$

$$\begin{aligned} &\text{Lip}_{\theta} \left(\left(\mathcal{L}_{f,\rho}^m - \mathcal{L}_{f,\rho}^m \circ E_m(\cdot;|f|) \right) (g) \right) \\ &\leq C \|g - E_m(g;|f|)\|_{\infty} + \theta^m \text{Lip}_{\theta}(g - E_m(g;|f|)) \\ &\leq (C+2)\theta^m \text{Lip}_{\theta}(g). \end{aligned}$$

We therefore get the following bound of the essential spectral radius of $\mathcal{L}_{f,\rho}$

$$\begin{aligned} & \text{ess.spec.rad.}(\mathcal{L}_{f,\rho}) \\ &= \limsup_{m \rightarrow \infty} \left[\inf \{ \| \mathcal{L}_{f,\rho}^m - K \|_\theta \mid K \text{ is a compact operator} \} \right]^{1/m} \\ &\leq \limsup_{m \rightarrow \infty} \theta(C+3)^{1/m} = \theta, \end{aligned}$$

which leads us to the conclusion.

In the case f is locally constant we have

PROPOSITION 3. *If $f \in C_\theta^1(E)$ is n -locally constant, an eigenvalue λ of $\mathcal{L}_{f,\rho}$ with $\theta\lambda(|f|) < \lambda \leq \lambda(|f|)$ is that of $\mathcal{L}_{h,\rho} : C_\theta^1(\hat{V}_n, \rho) \rightarrow C_\theta^1(\hat{V}_n, \rho)$, where $h : E_n \rightarrow C$ is the function with $f(e) = h(e_n)$ for every $e \in E$.*

Proof. The operator $\mathcal{L}_{f,\rho}$ leaves invariant the closed subspace $\Gamma_n(\rho)$ in $C_\theta^1(\hat{V}, \rho)$ consisting of n -locally constant functions, hence it induces an operator $\mathcal{L}_{f,\rho,n} : C_\theta^1(\hat{V}, \rho) / \Gamma_n(\rho) \rightarrow C_\theta^1(\hat{V}, \rho) / \Gamma_n(\rho)$. The space $\Gamma_n(\rho)$ can be identified with $C_\theta^1(\hat{V}_n, \rho)$ so that the following diagram is commutative

$$\begin{array}{ccc} \Gamma_n(\rho) & \xrightarrow{\mathcal{L}_{f,\rho}} & \Gamma_n(\rho) \\ \updownarrow & & \updownarrow \\ C_\theta^1(\hat{V}_n, \rho) & \xrightarrow{\mathcal{L}_{h,\rho}} & C_\theta^1(\hat{V}_n, \rho) \end{array}$$

With respect to the induced norm on $C_\theta^1(\hat{V}, \rho) / \Gamma_n(\rho)$, we can show in the same way to prove (2) that

$$\begin{aligned} \left\| \mathcal{L}_{f,\rho,n}^m [g] \right\|_\infty &= \inf \{ \| \mathcal{L}_{f,\rho}^m (g-k) \|_\infty \mid k \in \Gamma_n(\rho) \} \\ &\leq \theta^m \text{Lip}_\theta([g]), \end{aligned}$$

$$\text{Lip}_\theta(\mathcal{L}_{f,\rho,n}^m [g]) \leq C \| [g] \|_\infty + \theta^m \text{Lip}_\theta([g]).$$

Therefore the essential spectral radius of $\mathcal{L}_{f,\rho,n}$ is not greater than θ . Since a spectrum

of $\mathcal{L}_{s,\rho}$ is contained in one of the sets of spectra of $\mathcal{L}_{s,\rho} \Big|_{\Gamma_n(\rho)}$ and of $\mathcal{L}_{s,\rho,n}$ (see p12 [5]), we get the conclusion.

§3. Meromorphic extension of L-functions of profinite graphs

Let (V,E,d_θ) be an irreducible profinite graph with a Lipschitz continuous function $l : E \rightarrow R_+$. By the property P2) and P4) the cardinality of V_n is not greater than $\# V_1 \cdot \mathcal{N}^{n-1}$, $\mathcal{N} = \max_{v \in V} \# \sigma^{-1}(v)$, hence the dimension of $\Gamma_n(\rho)$ is not greater than $N \cdot \# V_1 \cdot \mathcal{N}^{n-1}$.

In order to get the meromorphic region of L-functions we shall treat the generalized L-function $L(f;\rho)$ defined for $f \in C_\theta^1(E)$, which is given by

$$L(f;\rho) = \exp \left(\sum_{m=1}^{\infty} \frac{1}{m} \sum_{\substack{c: \text{closed path} \\ \text{with } |c| = m}} \text{Trace } \rho(\langle c \rangle) f(c) \right)$$

This function is related to the L-function of (V,E,d_θ,l) by the equality $L_l(s;\rho) = L(e^{-st};\rho)$. The generalized L-function converges on $U_\theta = \{f \in C_\theta^1(E) \mid |f| > 0 \text{ and } \lambda(|f|) < 1\}$ and is non-zero holomorphic for $f \in U_\theta$. We extend it meromorphically by using Proposition 2.

THEOREM 4. *The generalized L-function $L(\cdot;\rho)$ can be meromorphically extended to the domain*

$$U'_\theta = \{f \in C_\theta^1(E) \mid |f| > 0 \text{ and } \lambda(|f|) < J\},$$

where $J = \exp \left\{ \frac{1}{2} (\log \theta)^2 (2 \log \mathcal{N} - \log \theta)^{-1} \right\}$.

Proof. Let $f_0 \in U'_\theta \setminus U_\theta$ and $\theta' = \sqrt{\theta}$. By Proposition 2 we can choose $\epsilon > 0$ so that the following conditions are satisfied:

- 1) $2 \log(\lambda(|f_0|) + \epsilon) \log \mathcal{N} < \log \theta \log(\sqrt{\theta} \lambda(|f_0|) + \epsilon)$,
- 2) the operator $\mathcal{L}_{\theta',\rho} : C_\theta^1(\hat{V},\rho) \rightarrow C_{\theta'}^1(\hat{V},\rho)$ has distinct eigenvalues $\lambda_1, \dots, \lambda_M$ of finite multiplicity satisfying $|\lambda_j| \geq \theta' \lambda(|f_0|) + 3\epsilon$,
- 3) the rest of the spectrum of $\mathcal{L}_{\theta',\rho}$ is contained in $\{z \in C \mid |z| \leq \theta' \lambda(|f_0|)\}$.

By perturbation theory there exists positive $\delta < \min |f_0|$ such that the following five conditions hold for every $f \in B_\delta(f_0, 4\delta) = \{f \in C_\theta^1(E) \mid \|f - f_0\|_\theta < 4\delta\}$;

- 1) $\lambda(|f|) < \lambda(|f_0|) + \epsilon$,
- 2) the operator $\mathcal{L}_{\theta',\rho} : C^1(\hat{V},\rho) \rightarrow C_\theta^1(\hat{V},\rho)$ has eigenvalues $\lambda_j^{(a)}(f), j=1, \dots, M, a=1, \dots,$

m_j such that

$$|\lambda_j^{(a)}(f)| > \theta^j \lambda(|f_0|) + 2\epsilon,$$

$$\lambda_j^{(a)}(f) \neq \lambda_k^{(b)}(f) \text{ for } j \neq k,$$

3) the rest of the spectrum λ of $\mathcal{G}_{f,\rho}$ satisfies $|\lambda| < \theta^j \lambda(|f|) + \epsilon$,

4) if we denote the associated decomposition by $C_\delta^1(\hat{V}, \rho) = V_1(f) \oplus \dots \oplus V_M(f) \oplus V(f)$, then the projection $\pi_j(f)$ onto $V_j(f)$ is analytic for $f \in B_\delta(f_0; 4\delta)$,

5) there exist transformations $F_j(f) : C_\delta^1(\hat{V}, \rho) \rightarrow C_\delta^1(\hat{V}, \rho)$ with $\pi_j(f) = F_j(f)^{-1} \circ \pi_j(f_0) \circ F_j(f)$, which are analytic for $f \in B_\delta(f_0; 4\delta)$.

We shall show that

$$\exp \left\{ - \sum_{m=1}^{\infty} \frac{1}{m} \left(\sum_{\substack{c: \text{closed path} \\ \text{with } |c|=m}} \text{Trace } \rho(\langle c \rangle) f(c) - \sum_{j=1}^M \sum_{a=1}^{m_j} \lambda_j^{(a)}(f)^m \right) \right\}$$

converges absolutely and uniformly on some neighborhood of f_0 . Define $f_n : E_n \rightarrow C$, $n = 1, 2, \dots$, for each $f \in C_\delta^1(E)$ by

$$f_n(e) = \sup_{\tilde{\omega}_n(e)=e} \Re e f(e) + \sqrt{-1} \sup_{\tilde{\omega}_n(e)=e} \Im m f(e),$$

where $\tilde{\omega}_n : E \rightarrow E_n$ is given by $\tilde{\omega}_n(e) = e_n$ for $e = (e_n) \in E$. Since $f_n \circ \tilde{\omega}_n$ is n -locally constant, if we take sufficiently large n_1 , we get

$$\begin{aligned} \|f_n \circ \tilde{\omega}_n - f_0\|_\delta &\leq \|f_n \circ \tilde{\omega}_n - f\|_\delta + \|f - f_0\|_\delta \\ &\leq 3\theta^n \|f\|_{\theta^n} + 2\delta \\ &\leq 3\theta^n (\|f_0\|_{\theta^n} + \|f - f_0\|) + 2\delta \\ &< 3\delta \end{aligned}$$

for every $f \in B_\delta(f_0; 2\delta)$ and $n \geq n_1$.

Choose positive α so that

$$2 \log(\lambda(|f_0|) + \epsilon) | \log \theta |^{-1} < \alpha < | \log(\sqrt{\theta} \lambda(|f_0|) + \epsilon) | (\log_e \theta)^{-1},$$

set $n(m)=[am]$, where $[*]$ denotes the integer part of $*$, and take m_1 so that $n(m_1) > n_1$. If we put $R=(\|f_0\|_\theta + \delta)(\min |f_0| - \delta)^{-1}$, then for every $f \in B_\theta(f_0; \delta)$ and $m \geq m_1$,

$$\begin{aligned} & \left| \frac{1}{m} \sum_{|c|=m} \text{Trace } \rho(\langle c \rangle) \left(f(c) - f_{n(m)} \circ \tilde{\omega}_{n(m)} \right) \right| \\ & \leq (1 + R\theta^{n(m)})^m R\theta^{n(m)} \sum_{|c|=m} |f(c)|. \end{aligned}$$

Therefore

$$\begin{aligned} & \limsup_{m \rightarrow \infty} \left| \frac{1}{m} \sum_c \text{Trace } \rho(\langle c \rangle) \left(f(c) - f_{n(m)} \circ \tilde{\omega}_{n(m)} \right) \right|^{1/m} \\ & \leq \theta^\alpha \lambda(|f|) \leq \theta^\alpha (\lambda(|f_0|) + \varepsilon) < 1, \end{aligned}$$

(see Lemma 5-2 of [3]), and we get the sum

$$\exp \left\{ - \sum_{m=m_1}^{\infty} \frac{1}{m} \sum_{|c|=m} \text{Trace } \rho(\langle c \rangle) \left(f(c) - f_{n(m)} \circ \tilde{\omega}_{n(m)} \right) \right\}$$

converges absolutely and uniformly on $B_\theta(f_0; \delta)$.

By Proposition 3 the set of eigenvalues of $\mathcal{L}_{f_n, \rho} : C^1(\hat{V}_n, \rho) \rightarrow C^1(\hat{V}_n, \rho)$ consists of $\lambda_j^{(a)}(f_n \circ \tilde{\omega}_n)$, $j=1, \dots, M$, $a=1, \dots, m_j$, and $\mu_i(f_n)$, $i=1, \dots, K$, with $|\mu_i(f_n)| \leq \theta^\alpha \lambda(|f_0|) + \varepsilon$. Since the dimension of $C^1(\hat{V}_n, \rho)$ is not greater than $N \# V_{1, \varepsilon} \uparrow^{n-1}$, we get

$$\lim_{m \rightarrow \infty} \left| \frac{1}{m} \sum_{i=1}^K \mu_i(f_{n(m)})^m \right|^{1/m} \leq \varepsilon^{1/\alpha} (\theta^\alpha \lambda(|f_0|) + \varepsilon) < 1,$$

hence the sum

$$\exp \left\{ - \sum_{m=m_1}^{\infty} \sum_{i=1}^K \mu_i(f_{n(m)})^m \right\}$$

also converges absolutely and uniformly on $B_\theta(f_0; \delta)$.

The operator $\mathcal{L}_{f, \rho, j} = F_j(f) \circ \mathcal{L}_{f, \rho} \circ F_j(f)^{-1}$ leaves invariant the space $V_j(f)$. By the analyticity for $f \in B_\theta(f_0; 4\delta)$ it satisfies

$$\| \hat{\mathcal{L}}_{f, \rho, j} - \hat{\mathcal{L}}_{f', \rho, j} \|_{\theta'} \leq C \| f - f' \|_{\theta'}$$

with some positive C for every $f, f' \in B_\theta(f_0; 3\delta)$ and $j=1, \dots, M$. For each $f \in B_\theta(f_0; \delta)$ and $m \geq m_1$ we can make the following estimate

$$\left| \sum_{a=1}^{m_j} \lambda_j^{(a)}(f)^m - \lambda_j^{(a)}(f_{n(m)} \circ \tilde{\omega}_{n(m)})^m \right|$$

$$\begin{aligned}
&= | \text{Trace} \left(\hat{\mathcal{L}}_{f, \rho; j}^m - \hat{\mathcal{L}}_{f, n(m)}^m \circ \tilde{\omega}_{n(m), \rho; j} : V_j(f_0) \rightarrow V_j(f_0) \right) | \\
&\leq (\text{Const}) \left\| \left(\hat{\mathcal{L}}_{f, \rho; j}^m - \hat{\mathcal{L}}_{f, n(m)}^m \circ \tilde{\omega}_{n(m), \rho; j} \right) \circ \pi_j(f_0) \right\|_{\theta} \\
&\leq (\text{Const}) \sum_{k=1}^{m-1} \left\| \left(\hat{\mathcal{L}}_{f, \rho; j} \circ \pi_j(f_0) \right)^k \right. \\
&\quad \circ \left(\hat{\mathcal{L}}_{f, \rho; j} - \hat{\mathcal{L}}_{f, n(m)} \circ \tilde{\omega}_{n(m), \rho; j} \right) \circ \pi_j(f_0) \\
&\quad \left. \circ \left(\hat{\mathcal{L}}_{f, n(m)} \circ \tilde{\omega}_{n(m), \rho; j} \circ \pi_j(f_0) \right)^{m-k-1} \right\|_{\theta} \\
&\leq (\text{Const}) \| f - f_{n(m)} \circ \tilde{\omega}_{n(m)} \|_{\theta} \\
&\times \sum_{k=1}^{m-1} \left\| \hat{\mathcal{L}}_{f, \rho; j} \circ \pi_j(f_0) \right\|_{\theta}^k \left\| \hat{\mathcal{L}}_{f, n(m)}^m \circ \tilde{\omega}_{n(m), \rho; j} \circ \pi_j(f_0) \right\|_{\theta}^{m-k-1} \\
&\leq (\text{Const}) \theta^{n(m)} \| f \|_{\theta} \sum_{k=1}^{m-1} \lambda(|f|)^k \lambda(|f_{n(m)} \circ \tilde{\omega}_{n(m)}|)^{m-k-1} \\
&\leq (\text{Const}) m \theta^{n(m)} (\| f_0 \| + \delta) (\lambda(|f_0|) + \epsilon)^m,
\end{aligned}$$

hence

$$\begin{aligned}
&\limsup_{m \rightarrow \infty} \left| \frac{1}{m} \sum_{j=1}^M \sum_{a=1}^{m_j} \lambda_j^{(\omega)}(f)^m - \lambda_j^{(\omega)}(f_{n(m)} \circ \tilde{\omega}_{n(m)})^m \right|^{1/m} \\
&\leq \theta^{\alpha/2} (\lambda(|f_0|) + \epsilon) < 1.
\end{aligned}$$

We therefore get the sum

$$\exp \left\{ - \sum_{m=m_1}^{\infty} \frac{1}{m} \sum_{j=1}^M \sum_{a=1}^{m_j} \lambda_j^{(\omega)}(f)^m - \lambda_j^{(\omega)}(f_{n(m)} \circ \tilde{\omega}_{n(m)})^m \right\}$$

converges absolutely and uniformly on $B_{\theta}(f_0; \delta)$.

The property P2) and P4) imply that the set of all m -step closed paths on (V, E) can be identified with that of all m -step closed paths on (V_n, E_n) by the map induced by $\tilde{\omega}_n$, hence

$$\begin{aligned}
&\sum_{\substack{c: \text{closed path in} \\ (V, E) \text{ with } |c| = m}} \text{Trace } \rho(\langle c \rangle) f_n \circ \tilde{\omega}_n(c) \\
&= \sum_{\substack{c: \text{closed path in} \\ (V_n, E_n) \text{ with } |c| = m}} \text{Trace } \rho_0(\langle c \rangle) f_n(c)
\end{aligned}$$

$$\begin{aligned}
&= \text{Trace} \left(\mathcal{L}_{f_n, \rho}^m : C^1(\hat{V}_n, \rho) \rightarrow C^1(\hat{V}_n, \rho) \right) \\
&= \sum_{j=1}^M \sum_{a=1}^{m_j} \lambda_j^{(a)}(f_n \circ \tilde{\omega}_n)^m + \sum_{i=1}^K \mu_i(f_n)^m.
\end{aligned}$$

Summarising up we can now conclude that

$$\begin{aligned}
&\exp \left\{ - \sum_{m=1}^{\infty} \frac{1}{m} \left(\sum_{\substack{c: \text{closed path} \\ \text{with } |c|=m}} \text{Trace } \rho(\langle c \rangle) f(c) - \sum_{j=1}^M \sum_{a=1}^{m_j} \lambda_j^{(a)}(f)^m \right) \right\} \\
&= \exp \left\{ - \sum_{m=1}^{m_1-1} \frac{1}{m} \left(\sum_c \text{Trace } \rho(\langle c \rangle) f(c) - \sum_{j=1}^M \sum_{a=1}^{m_j} \lambda_j^{(a)}(f)^m \right) \right\} \\
&\times \exp \left\{ - \sum_{m=m_1}^{\infty} \frac{1}{m} \sum_{|c|=m} \text{Trace } \rho(\langle c \rangle) \left(f(c) - f_{n(m)} \circ \tilde{\omega}_{n(m)} \right) \right\} \\
&\times \exp \left\{ - \sum_{m=m_1}^{\infty} \frac{1}{m} \sum_{j=1}^M \sum_{a=1}^{m_j} \lambda_j^{(a)}(f)^m - \lambda_j^{(a)}(f_{n(m)} \circ \tilde{\omega}_{n(m)})^m \right\} \\
&\times \exp \left\{ - \sum_{m=m_1}^{\infty} \frac{1}{m} \sum_{i=1}^K \mu_i(f_n)^m \right\}
\end{aligned}$$

converges absolutely and uniformly on $B_\theta(f_0; \delta)$, hence it is non-zero analytic for $f \in B_\theta(f_0; \delta)$.

On the other hand $\prod_{j=1}^M \prod_{a=1}^{m_j} (1 - \lambda_j^{(a)}(f))$ is analytic for $f \in B_\theta(f_0; \delta)$, we can get out conclusion.

By Proposition 1, if (V, E) is not circuit then the continuous function $R \ni s \mapsto \lambda(e^{-s}) \in R_+$ is monotone decreasing and there exists $h = h(l) > 0$ with $\lambda(e^{-h}) = 1$ (see Lemma 3-11 [3]). As was shown in [3], if $\Re s > h$ then $e^{-st} \in U_\theta$ and $L_l(s; \rho)$ is holomorphic. By using Theorem 4 we get

COROLLARY. *If (V, E) is not circuit then there is $\delta > 0$ such that for every continuous unitary representation $\rho: \pi_1(V, E) \rightarrow U(N)$ the L -function $L_l(s; \rho)$ extends meromorphically to the region $\Re s > h(l) - \delta$.*

REMARK. If $l \in \bigcap_{0 < \theta < 1} C_l^\theta(E)$, in particular if l is locally constant, then L -functions extends meromorphically to the entire complex plane.

§4. L -functions of Anosov flows

A flow $\varphi_t: X \rightarrow X$ on a Riemannian manifold X is called of Anosov type if the following conditions hold: The tangent bundle of X splits into three $d\varphi_t$ -invariant bundles $TX = E^t \oplus E^s \oplus E^u$ such that

- 1) E^t is the line bundle tangent to orbits of the flow,
- 2) there are $C > 0$ and $\lambda > 0$ with

$$\|d\varphi_t(v)\| \leq Ce^{-\lambda t} \|v\| \quad \text{for } v \in E^s, t \geq 0,$$

$$\|d\varphi_{-t}(v)\| \leq Ce^{-\lambda t} \|v\| \quad \text{for } v \in E^u, t \geq 0,$$

The non-wondering set consists of points x such that for every neighborhood U of x and $t > 0$ there is $t_0 > t$ with $\varphi_{t_0}(U) \cap U \neq \emptyset$. When the non-wondering set coincides with X , which is in the case when there is a φ_t -invariant measure, by use of Bowen's Symbolic dynamics, L -functions of an Anosov flow is related to those of profinite graphs in the following manner. There are irreducible non-circuit profinite graphs $(V^{(a)}, E^{(a)})$, $a = 0, \dots, M$, with Lipschitz continuous length functions $l^{(a)}: E^{(a)} \rightarrow \mathbb{R}_+$, and continuous homomorphisms $\psi_a: \pi_1(V^{(a)}, E^{(a)}) \rightarrow \pi_1(X)$ such that for every unitary representation $\rho: \pi_1(X) \rightarrow U(N)$

$$L_{\varphi_t}(s; \rho) = L_{t,0}(s; \rho \circ \psi_0) \prod_{a=1}^M (s; \rho \circ \psi_a)^{-1} q(a),$$

$$h(\varphi_t) = h(l^{(0)}) > h(l^{(a)}), \quad a = 1, \dots, M,$$

with some integer $q(a)$ associated to $(V^{(a)}, E^{(a)})$. Combining this and Theorem 4 we get

THEOREM 5. *Let $\varphi_t: X \rightarrow X$ be an Anosov flow on a compact manifold. If the non-wondering set of φ_t coincides with X , then there exists $\delta > 0$ such that for every unitary representation $\rho: \pi_1(X) \rightarrow U(N)$ the L -function $L_{\varphi_t}(s; \rho)$ has a non-zero meromorphic extension to the domain $\Re s > h(\varphi_t) - \delta$.*

Remark. We[3] give a piece of information about poles on the line $\Re s = h$. The L -function $L_{\varphi_t}(s; \rho)$ associated with a irreducible unitary representation has a pole at $s = h + \sqrt{-1}u$ if and only if ρ is a character (i.e. $\dim \rho = 1$) and $\rho(\langle p \rangle) = \exp(\sqrt{-1}ul(p))$ for every closed orbit p . In this case $L_{\varphi_t}(s; \rho) = \zeta_{\varphi_t}(s - \sqrt{-1}u)$ and every poles on $\Re s$ are simple. In particular, if φ_t is weak-mixing and the image of ρ is a finite set, then $L_{\varphi_t}(s; \rho)$ does not have poles on $\Re s = h$ except a simple pole of the zeta function on $s = h$.

Remark. Pollicott[11] show that if the correlation function decays exponentially fast for all Hölder continuous functions then the zeta function of weak-mixing flow has an analytic extension to a strip $h - \varepsilon < \Re s < h$.

§5. Examples of profinite graphs

Finite graphs and one-sided shifts of finite type are typical examples of profinite graphs. We here give some other examples which are not isomorphic to both of these.

Let (V, E) be a finite graph. By blowing up (V, E) at $v_0 \in V$ we construct a new graph (V', E') in the following way. Given a surjective map $A: \ell^{-1}(v_0) \rightarrow S$ onto some finite set S , we put $V' = (V \setminus \{v_0\}) \cup S$, the disjoint union, and

$$E' = \{e \in E \mid o(e) \neq v_0, \ell(e) \neq v_0\}$$

$$\cup \{(v, A(v)) \mid v \in \ell^{-1}(v_0) \setminus \{v_0\}\}$$

$$\cup \{(s, w) \mid s \in S \text{ and } w = \ell e \text{ for some } e \in \bar{o}^{-1}(v_0) \text{ with } \ell e \neq v_0\}$$

$$\cup \{(A(v_0), A(v_0))\},$$

where in case $v_0 \notin \ell^{-1}(v_0)$ the set $\{(A(v_0), A(v_0))\}$ means empty.

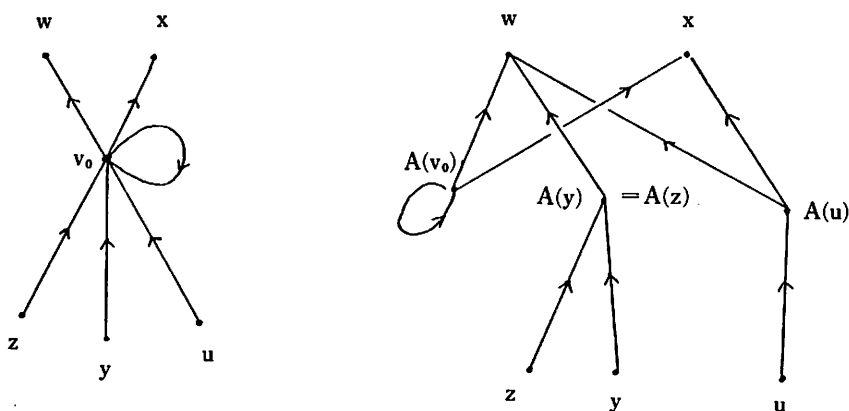


Figure 1 (blowing up at v_0)

one can easily check that

- 1) if (V, E) is irreducible and $u_0 \notin \ell^{-1}(u_0)$ then (V', E') is also irreducible,
- 2) if $\ell: E \rightarrow V$ is surjective so is $\ell: E' \rightarrow V'$.

If we define $\omega: V' \rightarrow V$ by

$$\omega(v') = \begin{cases} v & \text{if } v' \in V \setminus \{u_0\} \\ u_0 & \text{if } v' \in S, \end{cases}$$

then it is a surjective morphism of graphs and satisfies the following two conditions;

- (i) $\omega: \mathcal{O}^{-1}(v') \rightarrow \mathcal{O}^{-1}(\omega(v'))$ is surjective for every $v' \in V'$,
- (ii) if $\omega(e) = \omega(e')$, $e, e' \in E'$, then $\ell e = \ell e'$.

We can construct many profinite graphs by using this operation. One of the easiest one is the following; we construct (V_{n+1}, E_{n+1}) by blowing up (V_n, E_n) at the encircled vertex.

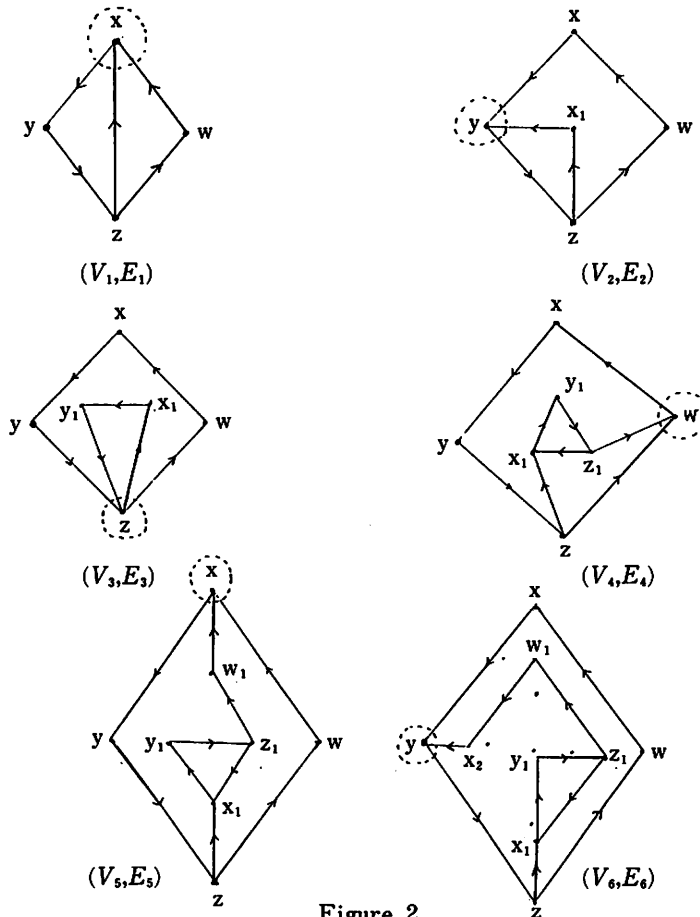


Figure 2

We can also construct product profinite graphs and quotient profinite graphs. The product of two profinite graphs (V, E) and (V', E') is given by $(\varprojlim V_n \times \varprojlim V'_n, \varprojlim E_n \times \varprojlim E'_n)$ with the morphism $\omega_n \times \omega'_n$. The quotient graph $(V, E)/\sim = (\varprojlim V_n/\sim_n, \varprojlim E_n/\sim_n)$ is defined in the following way. Let \sim_n be an equivalence relation on the n -stage V_n of a profinite graph (V, E) , which inherits on E_n by $e \sim_n e'$ if and only if $\alpha(e) \sim_n \alpha(e')$ and $\ell(e) \sim_n \ell(e')$. One can easily check the properties P1) – P4) when the following conditions hold;

- (i) if $v \sim_n w$ then $\omega_n(v) \sim_{n-1} \omega_n(w)$,
- (ii) if $\omega_n(e) \sim_{n-1} \omega_n(e')$ then $\ell(e) \sim_n \ell(e')$,
- (iii) if $e \in E_{n-1}$ and $v \in V_n$ satisfy $\alpha(e) \sim_{n-1} \omega_n(v)$,

then there is $e' \in E_n$ with $\alpha(e') \sim_n v$ and $\omega_n(e') \sim_{n-1} e$.

In particular, we can glue two profinite graphs (V, E) , (V', E') at given points $\xi \in V$, $\eta \in V'$ and get a new profinite graph $(V'', E'')/\sim$ by putting $V'' = V \cup V'$, $E'' = E \cup E'$ and defining the relation $v \sim_n w$ when $v = w$ or $v = \xi_n$, $w = \eta_n$.

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