

## A note on the meromorphic $\mathcal{O}(X)$ -convexity

*Dedicated to Professor Hideaki Kazama on the occasion of his sixtieth birthday*

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(Received January 31, 2005)

### Abstract

An open set  $D$  of a reduced Stein space  $X$  is meromorphically  $\mathcal{O}(X)$ -convex if and only if  $D$  is the union of an increasing sequence  $\{D_\nu\}_{\nu=1}^{\infty}$  of open sets of  $X$  such that  $D_\nu$  is  $\mathcal{O}_X(D_\nu)$ -convex for every  $\nu \in \mathbf{N}$ .

### 1. Introduction

In this paper we prove that an open set  $D$  of a reduced Stein space  $X$  is meromorphically  $\mathcal{O}(X)$ -convex if and only if  $D$  is the union of an increasing sequence  $\{D_\nu\}_{\nu=1}^{\infty}$  of open sets of  $X$  such that  $D_\nu$  is  $\mathcal{O}_X(D_\nu)$ -convex for every  $\nu \in \mathbf{N}$ , where  $\mathcal{O}_X(D_\nu)$  denotes the family of the functions  $\varphi$  on  $D_\nu$  of the form  $\varphi=(f/g)|_{D_\nu}$  such that  $f, g \in \mathcal{O}(X)$ ,  $g \neq 0$  on any irreducible component of  $X$  and  $g \neq 0$  on  $D_\nu$  (see Theorem 4.1).

By the similar argument we also prove that an open set  $D$  of  $\mathbf{C}^n$  is rationally convex if and only if  $D$  is the union of an increasing sequence  $\{D_\nu\}_{\nu=1}^{\infty}$  of open sets of  $\mathbf{C}^n$  such that each  $D_\nu$  is convex with respect to the rational functions which are holomorphic on  $D_\nu$  (see Theorems 4.2 and 4.3).

### 2. Preliminaries

Throughout this paper all complex spaces are supposed to be *reduced* and *second countable*. Let  $X$  be a complex space and  $D$  an open set of  $X$ . We denote by  $\mathcal{O}_X(D)$  the family of the functions  $\varphi$  on  $D$  of the form  $\varphi=(f/g)|_D$  such that  $f, g \in \mathcal{O}(X)$ ,  $g \neq 0$  on any irreducible component of  $X$  and  $g \neq 0$  on  $D$ . Since every strong Poincaré problem is solvable in  $\mathbf{C}^n$ , we have that  $\mathcal{O}_c(D)=\mathcal{H}(\mathbf{C}^n) \cap \mathcal{O}(D)$  for every open set  $D$  of  $\mathbf{C}^n$ .

Let  $X$  be a complex space and let  $\mathcal{F} \subset \mathcal{O}(X)$ . Then  $X$  is said to be *meromorphically  $\mathcal{F}$ -convex* if for every compact set  $K$  of  $X$  the *meromorphically convex hull*  $\tilde{K}_{\mathcal{F}}:=\{x \in X \mid f(x) \in f(K) \text{ for every } f \in \mathcal{F}\}$  of  $K$  with respect to  $\mathcal{F}$  is compact. An open set  $D$  of  $X$  is said to be *meromorphically  $\mathcal{F}$ -convex* if  $D$  is meromorphically  $\mathcal{F}|_D$ -convex, that is, for every compact

set  $K$  of  $D$  the set  $\tilde{K}_{\mathcal{O}} \cap D$  is compact. If  $X$  is a Stein space, then an open set  $D$  of  $X$  is meromorphically  $\mathcal{O}(X)$ -convex if and only if for every compact set  $K$  of  $D$  we have that  $\tilde{K}_X \subset D$ , where  $\tilde{K}_X := \tilde{K}_{\mathcal{O}(X)}$  (see Theorem 12 of Abe [1]).

Let  $z_1, z_2, \dots, z_n$  be the coordinates of  $\mathbf{C}^n$ . We denote by  $\mathbf{C}[z_1, z_2, \dots, z_n]$  and by  $\mathbf{C}(z_1, z_2, \dots, z_n)$  the set of polynomial functions on  $\mathbf{C}^n$  and the set of rational functions on  $\mathbf{C}^n$  respectively. Let  $K$  be a compact set of  $\mathbf{C}^n$ . The set  $\tilde{K}_{\mathbf{C}[z_1, z_2, \dots, z_n]}$  is said to be the *rationally convex hull* of  $K$ , which coincides with the set of the points  $x \in \mathbf{C}^n$  such that if  $h \in \mathbf{C}(z_1, z_2, \dots, z_n)$  is holomorphic near  $K$ , then  $h$  is also holomorphic near  $x$  and  $|h(x)| \leq \|h\|_K$  (see Stolzenberg [11, p. 262] or Lemma 2.4 of Gamelin [4, p. 69]). An open set  $D$  of  $\mathbf{C}^n$  is said to be *rationally convex* if  $D$  is meromorphically  $\mathbf{C}[z_1, z_2, \dots, z_n]$ -convex. Since we have that  $\tilde{K}_{\mathbf{C}[z_1, z_2, \dots, z_n]} = \tilde{K}_{\mathbf{C}}$  for every compact set  $K$  of  $\mathbf{C}^n$ , an open set  $D$  of  $\mathbf{C}^n$  is rationally convex if and only if  $D$  is meromorphically  $\mathcal{O}(\mathbf{C}^n)$ -convex (see Lemma 2 of Abe [1]). If an open set  $D$  of  $\mathbf{C}^n$  is  $\mathcal{L}_{\mathbf{C}}(D)$ -convex, then  $D$  is rationally convex in  $\mathbf{C}^n$ . The converse however is not true if  $n \geq 2$  (see Abe [2]).

Let  $\mathcal{R}(D) := \mathbf{C}(z_1, z_2, \dots, z_n) \cap \mathcal{O}(D)$  for every open set  $D$  of  $\mathbf{C}^n$ . If an open set  $D$  of  $\mathbf{C}^n$  is  $\mathcal{R}(D)$ -convex, then  $D$  is  $\mathcal{L}_{\mathbf{C}}(D)$ -convex. The converse however is not true if  $n \geq 2$ . As an example, let  $D := \mathbf{C}^n \setminus S$ , where  $S$  is an irreducible transcendental hypersurface of  $\mathbf{C}^n$ . Then  $D$  is  $\mathcal{L}_{\mathbf{C}}(D)$ -convex and is not  $\mathcal{R}(D)$ -convex.

Let  $X$  be a complex space. Let  $f_\mu, g_\mu \in \mathcal{O}(X)$  and let  $g_\mu \neq 0$  on any irreducible component of  $X$  for  $\mu=1, 2, \dots, m$ . Let  $h_\mu := f_\mu/g_\mu$  for  $\mu=1, 2, \dots, m$ . Let  $Z_1, Z_2, \dots, Z_m$  be open sets of  $\mathbf{C}$ . Let  $G$  be an open set of  $X \setminus A$ , where  $A := \{g_1 g_2 \cdots g_m = 0\}$ . Let  $W := G \cap \{x \in X \setminus A \mid h_\mu(x) \in Z_\mu \text{ for every } \mu=1, 2, \dots, m\}$  and assume that  $W \subseteq G$ . Then the open set  $W$  is said to be a *meromorphic polyhedron* of  $X$ . A meromorphic polyhedron  $W$  of  $\mathbf{C}^n$  is said to be a *rational polyhedron* of  $\mathbf{C}^n$  if the functions  $f_1, f_2, \dots, f_m, g_1, g_2, \dots, g_m$  are chosen to be polynomial.

### 3. Lemmas

We use the notation in Sect. 2 for the meromorphic or rational polyhedron  $W$  in the following lemmas. Let  $\Delta := \{t \in \mathbf{C} \mid |t| < 1\}$ .

**Lemma 3.1.** *If  $X$  is a Stein space or an irreducible complex space, then every meromorphic polyhedron  $W$  of  $X$  is  $\mathcal{L}_X(W)$ -convex.*

**Proof.** Let  $K$  be an arbitrary compact set of  $W$ . Assume that  $\tilde{K}_{\mathcal{O}_X(W)}$  is not compact. Then there exist a sequence  $\{p_\nu\}_{\nu=1}^\infty \subset \tilde{K}_{\mathcal{O}_X(W)}$  and  $p_0 \in \partial W$  such that  $\lim_{\nu \rightarrow \infty} p_\nu = p_0$  in  $G$ . There exists an index  $\mu_0$  such that  $c := h_{\mu_0}(p_0) \in \partial Z_{\mu_0}$ . Since  $f_{\mu_0}/g_{\mu_0} = h_{\mu_0} \neq c$  on  $W$ , we have that  $f_{\mu_0} - cg_{\mu_0} \neq 0$  on  $W$ . We consider the case when  $X$  is Stein. Let  $\{X_i\}_{i \in I}$  be the set of irreducible components of  $X$ . Let  $I' := \{i \in I \mid f_{\mu_0} - cg_{\mu_0} \equiv 0 \text{ on } X_i\}$ ,  $I'' := I \setminus I'$  and  $X'' := \bigcup_{j \in I''} X_j$ . Then  $W \subset X''$ . Take a point  $\xi_i \in X_i \setminus X''$  for every  $i \in I'$ . Since  $X'' \cup \{\xi_i \mid i \in I'\}$  is an analytic set of a Stein space  $X$ , there exists  $v \in \mathcal{O}(X)$  such that  $v = f_{\mu_0} - cg_{\mu_0}$  on  $X''$  and  $v(\xi_i) = 1$  for every  $i \in I'$ .

Then  $\nu \neq 0$  on any irreducible component of  $X$ . Let  $l := g_{\mu_0}/\nu$  on  $X$ . In the case when  $X$  is an irreducible complex space, let  $l := g_{\mu_0}/(f_{\mu_0} - cg_{\mu_0})$  on  $X$ . In both cases we have that  $l \in \mathcal{O}_X(W)$  and that  $l = 1/(h_{\mu_0} - c)$  on  $W$ . Therefore we have that  $\lim_{\nu \rightarrow \infty} |l(p_\nu)| = +\infty$ . On the other hand  $|l(p_\nu)| \leq \|l\|_K$  for every  $\nu \in \mathbb{N}$ . It is a contradiction. It follows that  $\tilde{K}_{\alpha(w)}$  is compact. Thus we proved that  $W$  is  $\mathcal{O}_X(W)$ -convex.  $\square$

**Lemma 3.2.** *Every rational polyhedron  $W$  of  $\mathbb{C}^n$  is  $\mathcal{R}(W)$ -convex.*

**Proof.** Applying the argument in the proof of Lemma 3.1 in the case when  $X$  is irreducible, we obtain the assertion.  $\square$

**Lemma 3.3.** *Let  $K$  be a compact set of  $\mathbb{C}^n$  and  $E$  an open set of  $\mathbb{C}^n$  such that  $K \subset E \subset \mathbb{C}^n$  and  $\tilde{K}_c \cap \partial E = \emptyset$ . Then there exists a rational polyhedron  $W$  of  $\mathbb{C}^n$  with  $Z_1 = Z_2 = \dots = Z_m = \Delta$  and  $f_1 = f_2 = \dots = f_m = 1$  such that  $\tilde{K}_c \subset W \subset E$ .*

**Proof.** We use the method of the proof of Lemma 2<sup>1</sup> of Abe-Furushima [3] or of Lemma 5 of Abe [1]. Take an arbitrary point  $p \in \partial E$ . Since  $p \notin \tilde{K}_c$ , there exists  $u^{(p)} \in \mathbb{C}[z_1, z_2, \dots, z_n]$  such that  $u^{(p)}(p) \notin u^{(p)}(K)$ . Then there exist  $\alpha_p \in \mathbb{C}$  and  $\varepsilon_p > 0$  such that  $u^{(p)}(p) \in \{t \in \mathbb{C} \mid 0 < |t - \alpha_p| < \varepsilon_p\}$  and  $u^{(p)}(K) \subset \{t \in \mathbb{C} \mid |t - \alpha_p| > \varepsilon_p\}$ . Let  $g^{(p)} := (u^{(p)} - \alpha_p)/\varepsilon_p$ ,  $U_p := \{g^{(p)} \neq 0\}$ ,  $V_p := \{x \in U_p \mid |1/g^{(p)}(x)| > 1\}$  and  $W_p := \{x \in U_p \mid |1/g^{(p)}(x)| < 1\}$ . Then  $g^{(p)} \in \mathbb{C}[z_1, z_2, \dots, z_n]$ ,  $p \in V_p$ ,  $K \subset W_p$ ,  $\bar{W}_p \subset U_p$  and  $V_p \cap \bar{W}_p = \emptyset$ . Since  $\partial E$  is compact, there exist finitely many points  $p_1, p_2, \dots, p_m \in \partial E$  such that  $\partial E \subset \bigcup_{\mu=1}^m V_{p_\mu}$ . Let  $g_\mu := g^{(p_\mu)}$  for  $\mu = 1, 2, \dots, m$ . Let  $A := \{g_1 g_2 \dots g_m = 0\}$ ,  $G := E \setminus A$  and  $W := G \cap \{x \in \mathbb{C}^n \setminus A \mid |1/g_\mu(x)| < 1 \text{ for every } \mu = 1, 2, \dots, m\}$ . It is easy to verify that  $W = G \cap (\bigcap_{\mu=1}^m W_{p_\mu}) \subset G$ . Then  $W$  is a rational polyhedron of  $\mathbb{C}^n$  and  $\tilde{K}_c \subset W \subset E$ .  $\square$

**Lemma 3.4.** *Let  $X$  be a complex space. Let  $\mathcal{F}$  be a subfamily of  $\mathcal{O}(X)$  such that if  $f \in \mathcal{F}$  and  $c > 0$ , then  $cf \in \mathcal{F}$ . Let  $K$  be a compact set of  $X$  and  $E$  an open set of  $X$  such that  $K \subset E \subset X$  and  $\tilde{K}_c \cap \partial E = \emptyset$ . Then there exist finitely many  $h_1, h_2, \dots, h_m \in \mathcal{F}$  such that  $K \subset W \subset E$ , where  $W := E \cap \{x \in X \mid |h_\mu(x)| < 1 \text{ for every } \mu = 1, 2, \dots, m\}$ .*

**Proof.** Take an arbitrary point  $p \in \partial E$ . Since  $p \notin \tilde{K}_c$  there exists  $h^{(p)} \in \mathcal{F}$  such that  $|h^{(p)}(p)| > \|h^{(p)}\|_K$ . Multiplying a positive constant we may assume that  $|h^{(p)}(p)| > 1 > \|h^{(p)}\|_K$ . Then  $V_p := \{x \in X \mid |h^{(p)}(x)| > 1\}$  is an open neighborhood of  $p$ . Since  $\partial E$  is compact, there exist finitely many points  $p_1, p_2, \dots, p_m \in \partial E$  such that  $\partial E \subset \bigcup_{\mu=1}^m V_{p_\mu}$ . Let  $h_\mu := h^{(p_\mu)}$  for every  $\mu = 1,$

<sup>1</sup>The proof of Lemma 2 of Abe-Furushima [3] contains an inadequate argument. For the corrected proof see Lemma 10 of Abe [1].

2, ..., m. Let  $W := E \cap \{x \in X \mid |h_\mu(x)| < 1 \text{ for every } \mu=1, 2, \dots, m\}$ . Then we have that  $K \subset W \subset E$ .  $\square$

**Lemma 3.5.** *Let  $W$  be a rational polyhedron of  $\mathbb{C}^n$  with  $Z_1=Z_2=\dots=Z_m=\Delta$  and let  $D$  be an open set of  $\mathbb{C}^n$  such that  $W \subset D \subset \mathbb{C}^n \setminus A$ . Then for every compact set  $K$  of  $W$  we have that  $\tilde{K}_{\mathcal{R}(D)} \subset W$ .*

**Proof.** The map  $\psi := (h_1, h_2, \dots, h_m, z_1, z_2, \dots, z_n) : \mathbb{C}^n \setminus A \rightarrow \mathbb{C}^{m+n}$  is injective and regular. Since the map  $(h_1, h_2, \dots, h_m) : W \rightarrow \Delta^m$  is proper (see E.51f of Kaup-Kaup [5, p. 226]), the induced map  $\psi_{W, \Delta^m \times \mathbb{C}^n} : W \rightarrow \Delta^m \times \mathbb{C}^n$  is also proper. It follows that  $\psi_{W, \Delta^m \times \mathbb{C}^n} : W \rightarrow \Delta^m \times \mathbb{C}^n$  is a closed holomorphic embedding. Let  $K$  be an arbitrary compact set of  $W$ . Take an arbitrary point  $x \in \tilde{K}_{\mathcal{R}(D)}$ . Since  $|h_\mu(x)| \leq \|h_\mu\|_K < 1$  for  $\mu=1, 2, \dots, m$ , we have that  $\psi(x) = (h_1(x), h_2(x), \dots, h_m(x), x) \in \Delta^m \times \mathbb{C}^n$ . Assume that  $\psi(x) \notin \psi(W)$ . Since  $\psi(W) \cup \{\psi(x)\}$  is an analytic set of a Stein manifold  $\Delta^m \times \mathbb{C}^n$ , there exists  $\alpha \in \mathcal{O}(\Delta^m \times \mathbb{C}^n)$  such that  $\alpha=0$  on  $\psi(W)$  and  $\alpha(\psi(x))=1$ . There exists a polynomial function  $\beta$  on  $\mathbb{C}^{m+n}$  such that  $|\alpha - \beta| < 1/2$  on  $\psi(K \cup \{x\})$ . Then  $|\beta \circ \psi| < 1/2$  on  $K$  and  $|\beta(\psi(x))| > 1/2$ . Since  $\beta \circ \psi$  is a polynomial of  $h_1, h_2, \dots, h_m, z_1, z_2, \dots, z_n$ , there exist  $u \in \mathbb{C}[z_1, z_2, \dots, z_n]$  and a monic monomial  $v$  of  $g_1, g_2, \dots, g_m$  such that  $\beta \circ \psi = u/v$  on  $\mathbb{C}^n \setminus A$ . Since  $u/v \in \mathcal{R}(D)$ , we have that  $|\beta(\psi(x))| \leq \|\beta \circ \psi\|_K < 1/2$ . It is a contradiction. It follows that  $\psi(x) \in \psi(W)$ . Since  $\psi$  is injective, we have that  $x \in W$ . Thus we proved that  $\tilde{K}_{\mathcal{R}(D)} \subset W$ .  $\square$

**Lemma 3.6.** *If an open set  $D$  of  $\mathbb{C}^n$  is  $\mathcal{R}(D)$ -convex, then for every compact set  $K$  of  $D$  every connected component of  $\tilde{K}_{\mathcal{R}(D)}$  intersects  $K$ .*

**Proof.** Assume that there exists a connected component  $L$  of  $\tilde{K}_{\mathcal{R}(D)}$  such that  $L \cap K = \emptyset$ . Let  $\mathcal{S}$  be the family of the subsets of  $\tilde{K}_{\mathcal{R}(D)}$  which contain  $L$  and are simultaneously open and closed in  $\tilde{K}_{\mathcal{R}(D)}$ . Since  $\tilde{K}_{\mathcal{R}(D)}$  is compact, we have that  $L = \bigcap_{S \in \mathcal{S}} S$  (see Narasimhan [6, p. 234] or Remmert [9, p. 304]). Since  $K$  is a compact set of  $\tilde{K}_{\mathcal{R}(D)}$  which does not intersect  $L$ , there exist finitely many  $S_1, S_2, \dots, S_N \in \mathcal{S}$  such that  $(\bigcap_{i=1}^N S_i) \cap K = \emptyset$ . Then  $L'' := \bigcap_{i=1}^N S_i \in \mathcal{S}$ . The set  $L' := \tilde{K}_{\mathcal{R}(D)} \setminus L''$  is also open and closed in  $\tilde{K}_{\mathcal{R}(D)}$ . We have that  $L' \cup L'' = \tilde{K}_{\mathcal{R}(D)}$ ,  $L' \cap L'' = \emptyset$  and  $K \subset L'$ . Since  $L'$  and  $L''$  are compact, we can take an open set  $E$  of  $D$  such that  $L' \subset E \subset D \setminus L''$ . Then  $\tilde{K}_{\mathcal{R}(D)} \cap \partial E = \emptyset$ . By applying Lemma 3.4 for  $X=D$  and  $\mathcal{F}=\mathcal{R}(D)$ , there exist finitely many  $h_1, h_2, \dots, h_m \in \mathcal{R}(D)$  such that  $K \subset W \subset E$ , where  $W := \{x \in E \mid |h_\mu(x)| < 1 \text{ for every } \mu=1, 2, \dots, m\}$ . Then  $W$  is a rational polyhedron of  $\mathbb{C}^n$  with  $Z_1=Z_2=\dots=Z_m=$

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<sup>2</sup>If the polynomials  $f$  and  $g$  are chosen to be relatively prime, then the function  $f/g$  cannot be holomorphic in any neighborhood of a point  $p \in \mathbb{C}^n$  such that  $g(p)=0$  (see Theorem 1.3.2 of Rudin [10]). Therefore we have that  $\mathcal{R}(D) = \{(f/g) \mid_D \mid f, g \in \mathbb{C}[z_1, z_2, \dots, z_n] \text{ and } g \neq 0 \text{ on } D\}$  for every open set  $D$  of  $\mathbb{C}^n$ .

$\Delta$  such that  $A \cap D = \emptyset$ .<sup>2</sup> By Lemma 3.5 we have that  $\tilde{K}_{\mathcal{R}(D)} \subset W$ . It follows that  $\tilde{K}_{\mathcal{R}(D)} \subset D \setminus L'$  and therefore  $L'' = \emptyset$ . Since  $\emptyset \neq L \subset L''$ , it is a contradiction.  $\square$

**Lemma 3.7.** *If an open set  $D$  of  $\mathbb{C}^n$  is  $\mathcal{R}(D)$ -convex, then every connected component of  $D$  is also  $\mathcal{R}(D)$ -convex.*

**Proof.** Let  $C$  be a connected component of  $D$ . Let  $K$  be a compact set of  $C$ . Since  $D$  is  $\mathcal{R}(D)$ -convex, the set  $\tilde{K}_{\mathcal{R}(D)}$  is compact. Assume that  $P := \tilde{K}_{\mathcal{R}(D)} \setminus C \neq \emptyset$  and take a point  $x_0 \in P$ . Let  $L$  be a connected component of  $\tilde{K}_{\mathcal{R}(D)}$  containing  $x_0$ . Since  $P$  is closed and open in  $\tilde{K}_{\mathcal{R}(D)}$ , we have that  $L \subset P$ . It follows that  $L \cap K = \emptyset$ . It contradicts Lemma 3.6.  $\square$

#### 4. Results

We have the following characterization of a meromorphically  $\mathcal{O}(X)$ -convex open set of a Stein space  $X$ .

**Theorem 4.1.** *Let  $X$  be a Stein space and  $D$  an open set of  $X$ . Then the following two conditions are equivalent.*

- (1)  $D$  is meromorphically  $\mathcal{O}(X)$ -convex.
- (2)  $D$  is the union of an increasing sequence  $\{D_\nu\}_{\nu=1}^\infty$  of open sets of  $X$  such that  $D_\nu$  is  $\mathcal{O}_X(D_\nu)$ -convex for every  $\nu \in \mathbb{N}$ .

**Proof.** (1)  $\Rightarrow$  (2). Take a sequence  $\{K_\nu\}_{\nu=1}^\infty$  of compact sets of  $D$  such that  $\bigcup_{\nu=1}^\infty K_\nu = D$  and  $K_\nu \subset \overset{\circ}{K}_{\nu+1}$  for every  $\nu \in \mathbb{N}$ . For every compact set  $K$  of  $D$  we have that  $\tilde{K}_X \subset D$  (see Theorem 12 of Abe [1]). There exists a meromorphic polyhedron  $W$  of  $X$  such that  $\tilde{K}_X \subset W \subset D$  (see Corollary 6 of Abe [1]). Therefore by induction there exists a sequence  $\{W_\nu\}_{\nu=1}^\infty$  of meromorphic polyhedra of  $X$  such that  $K_\nu \cup \bar{W}_{\nu-1} \subset W_\nu \subset D$  for every  $\nu \in \mathbb{N}$ , where  $W_0 := \emptyset$ . Then we have that  $\bigcup_{\nu=1}^\infty W_\nu = D$  and  $W_\nu \subset W_{\nu+1}$  for every  $\nu \in \mathbb{N}$ . By Lemma 3.1 the open set  $W_\nu$  is  $\mathcal{O}_X(W_\nu)$ -convex for every  $\nu \in \mathbb{N}$ .

(2)  $\Rightarrow$  (1). There exists an increasing sequence  $\{D_\nu\}_{\nu=1}^\infty$  of open sets of  $X$  such that  $\bigcup_{\nu=1}^\infty D_\nu = D$  and  $D_\nu$  is  $\mathcal{O}_X(D_\nu)$ -convex for every  $\nu \in \mathbb{N}$ . Take an arbitrary compact set  $K$  of  $D$ . There exists  $N \in \mathbb{N}$  such that  $K \subset D_N$ . Since  $D_N$  is meromorphically  $\mathcal{O}(X)$ -convex (see Abe [2]), we have that  $\tilde{K}_X \subset D_N \subset D$  (see Theorem 12 of Abe [1]). It follows that  $D$  is meromorphically  $\mathcal{O}(X)$ -convex.  $\square$

By the similar argument we also prove the following Theorem 4.2 which characterizes a rationally convex open set of  $\mathbb{C}^n$ .

**Theorem 4.2.** *Let  $D$  be an open set of  $\mathbb{C}^n$ . Then the following three conditions are equivalent.*

- (1)  $D$  is rationally convex in  $\mathbb{C}^n$ .
- (2)  $D$  is the union of an increasing sequence  $\{D_\nu\}_{\nu=1}^\infty$  of open sets of  $\mathbb{C}^n$  such that  $D_\nu$  is  $\mathcal{R}(D_\nu)$ -convex for every  $\nu \in \mathbb{N}$ .
- (3)  $D$  is the union of an increasing sequence  $\{D_\nu\}_{\nu=1}^\infty$  of open sets of  $\mathbb{C}^n$  such that  $D_\nu$  is  $\mathcal{Q}_{\mathbb{C}^n}(D_\nu)$ -convex for every  $\nu \in \mathbb{N}$ .

**Proof.** (1)  $\Rightarrow$  (2). Take a sequence  $\{K_\nu\}_{\nu=1}^\infty$  of compact sets of  $D$  such that  $\bigcup_{\nu=1}^\infty K_\nu = D$  and  $K_\nu \subset \mathring{K}_{\nu+1}$  for every  $\nu \in \mathbb{N}$ . For every compact set  $K$  of  $D$  we have that  $\tilde{K}_{\mathbb{C}^n} \subset D$  (see Theorem 12 of Abe [1]). By Lemma 3.3 there exists a rational polyhedron  $W$  such that  $\tilde{K}_{\mathbb{C}^n} \subset W \subset D$ . Therefore by induction there exists a sequence  $\{W_\nu\}_{\nu=1}^\infty$  of rational polyhedra such that  $K_\nu \cup \bar{W}_{\nu-1} \subset W_\nu \subset D$  for every  $\nu \in \mathbb{N}$ , where  $W_0 := \emptyset$ . Then we have that  $\bigcup_{\nu=1}^\infty W_\nu = D$  and  $W_\nu \subset W_{\nu+1}$  for every  $\nu \in \mathbb{N}$ . By Lemma 3.2 the open set  $W_\nu$  is  $\mathcal{R}(W_\nu)$ -convex for every  $\nu \in \mathbb{N}$ .

(2)  $\Rightarrow$  (3). Clear. □

(1)  $\Leftrightarrow$  (3). The assertion is by Theorem 4.1.

We also have the following Theorem 4.3 which characterizes a connected rationally convex open set of  $\mathbb{C}^n$ .

**Theorem 4.3.** *Let  $D$  be a connected open set of  $\mathbb{C}^n$ . Then the following three conditions are equivalent.*

- (1)  $D$  is rationally convex in  $\mathbb{C}^n$ .
- (2)  $D$  is the union of an increasing sequence  $\{D_\nu\}_{\nu=1}^\infty$  of connected open sets of  $\mathbb{C}^n$  such that  $D_\nu$  is  $\mathcal{R}(D_\nu)$ -convex for every  $\nu \in \mathbb{N}$ .
- (3)  $D$  is the union of an increasing sequence  $\{D_\nu\}_{\nu=1}^\infty$  of connected open sets of  $\mathbb{C}^n$  such that  $D_\nu$  is  $\mathcal{Q}_{\mathbb{C}^n}(D_\nu)$ -convex for every  $\nu \in \mathbb{N}$ .

**Proof.** (1)  $\Rightarrow$  (2). Take a sequence  $\{K_\nu\}_{\nu=1}^\infty$  of connected compact sets of  $D$  such that  $\bigcup_{\nu=1}^\infty K_\nu = D$  and  $K_\nu \subset \mathring{K}_{\nu+1}$  for every  $\nu \in \mathbb{N}$ . By the proof of Theorem 4.2 there exists a sequence  $\{W_\nu\}_{\nu=1}^\infty$  of rational polyhedra such that  $K_\nu \cup \bar{W}_{\nu-1} \subset W_\nu \subset D$  for every  $\nu \in \mathbb{N}$ , where  $W_0 := \emptyset$ . Let  $D_\nu$  be the connected component of  $W_\nu$  containing  $K_\nu$  for every  $\nu \in \mathbb{N}$ . By Lemmas 3.2 and 3.7 the open set  $D_\nu$  is  $\mathcal{R}(W_\nu)$ -convex and therefore  $\mathcal{R}(D_\nu)$ -convex. Replacing  $\{D_\nu\}_{\nu=1}^\infty$  by a subsequence we also have that  $D_\nu \subset D_{\nu+1}$  for every  $\nu \geq 1$ .

(2)  $\Rightarrow$  (3). Clear.

(3)  $\Rightarrow$  (1). The assertion is by Theorem 4.1.

In Oka [8] a domain  $D$  in  $\mathbb{C}^n$  is said to be *rationnellement convexe* (rationally convex) if  $D$

is  $\mathcal{R}(D)$ -convex or  $D$  can be approximated from the interior by domains  $D_\nu$  which are  $\mathcal{R}(D_\nu)$ -convex (see also Nishino [7, p. 99]). By the proof of Theorem 4.2 our definition of the rational convexity for a connected open set of  $\mathbf{C}^n$  is equivalent to the one due to Oka [8].

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