

Willmore surfaces in S^3 and minimal surfaces in S^4

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Abstract

We shall see that for a space-like minimal surface \tilde{S} in the 4-dimensional de Sitter space S^4 such that the shape operator with respect to some light-like normal vector field is nowhere zero, there exists a Willmore surface S in S^3 without any umbilical point such that the image of S by the conformal Gauss map is congruent with \tilde{S} in S^4 . In addition, we shall show that a Willmore surface S in S^3 without any umbilical point is the inverse image of a minimal surface in \mathbb{R}^3 by a stereographic projection if and only if the image of S by the conformal Gauss map is a space-like minimal surface in S^4 such that the curvature with respect to the metric induced by the conformal Gauss map is identically equal to one.

1. Introduction

Let M be an oriented smooth two-dimensional manifold. Then for each immersion $\iota : M \rightarrow S^3$ of M into S^3 , we set

$$W(\iota) := \int_M (H^2 + 1) dA,$$

where H is the mean curvature of M with respect to ι and dA is the area element of M with respect to the metric g induced by ι . We call W the *Willmore functional*. An immersion ι is called *Willmore* if the first variation of W for ι is zero, where we consider variations of ι with compact support. If ι is Willmore, then the image $\iota(M)$ is called a *Willmore surface*. The Euler-Lagrange equation for Willmore immersions is given by the following ([7]):

$$\Delta H + 2(H^2 - K + 1)H = 0, \tag{1}$$

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where Δ and K are the Laplacian and the curvature of M with respect to g , respectively. From (1), we see that a minimal surface in S^3 is Willmore. Referring to [7], we see that the inverse image of a minimal surface in \mathbf{R}^3 by a stereographic projection is Willmore.

Suppose that M has no umbilical point with respect to an immersion ι . In [3], Bryant pointed out that ι is Willmore if and only if the conformal Gauss map γ_ι of ι is a space-like minimal immersion of M into the 4-dimensional de Sitter space S^4 . In the present paper, we shall see that for a space-like minimal immersion γ of M into S^4 such that the shape operator of M with respect to some light-like normal vector field is nowhere zero, there exists a Willmore immersion ι of M into S^3 such that the conformal Gauss map of ι is equal to γ or $-\gamma$. In addition, we shall show that for a Willmore immersion $\iota : M \rightarrow S^3$, $\iota(M)$ is the inverse image of a minimal surface in \mathbf{R}^3 by a stereographic projection if and only if the conformal Gauss map γ_ι of ι is a space-like minimal immersion such that the curvature of M with respect to the metric induced by γ_ι is identically equal to one.

Remark. In [3], Bryant showed that if M is homeomorphic to S^2 , then the image of M by a Willmore immersion is the compactification of the inverse image by a stereographic projection of a complete minimal surface in \mathbf{R}^3 with finite total curvature and with embedded, flat ends.

2. The conformal Gauss map

In [3], Bryant introduced and studied the conformal Gauss map of an immersion $\iota : M \rightarrow S^3$. The purpose of this section is to describe properties of the conformal Gauss map.

2.1. Preliminaries

Let $\langle \cdot, \cdot \rangle$ be the Lorentzian inner product on \mathbf{R}^5 , i. e., an indefinite inner product on \mathbf{R}^5 defined by

$$\langle x, y \rangle := -x^{(0)}y^{(0)} + x^{(1)}y^{(1)} + x^{(2)}y^{(2)} + x^{(3)}y^{(3)} + x^{(4)}y^{(4)}$$

for two vectors $x := (x^{(0)}, x^{(1)}, x^{(2)}, x^{(3)}, x^{(4)})$, $y := (y^{(0)}, y^{(1)}, y^{(2)}, y^{(3)}, y^{(4)})$ of \mathbf{R}^5 . We say that a vector $x \in \mathbf{R}^5$ is *space-like* if $\langle x, x \rangle > 0$, *time-like* if $\langle x, x \rangle < 0$, and *light-like* or *null* if x is not zero but satisfies $\langle x, x \rangle = 0$. A time-like or light-like vector $x \in \mathbf{R}^5$ is said to be *future directed* if $x^{(0)} > 0$. Let L^+ be the set of the future directed light-like vectors of \mathbf{R}^5 . For two vectors $x, y \in L^+$, we write $x \sim y$ if x and y are linearly dependent. We see that \sim is an equivalence relation in L^+ and that L^+/\sim may be identified with the 3-dimensional sphere. In addition, a subset $\{x \in L^+ ; x^{(0)} = 1\}$ of L^+ , which is a set of representatives of the equivalence classes, may be identified with the 3-dimensional unit sphere S^3 , which is the 3-dimensional sphere equipped with the standard metric.

Let G be the group of the linear transformations of \mathbf{R}^5 which preserve the Lorentzian inner product \langle , \rangle , the orientation of \mathbf{R}^5 and the future direction. Then each element X of G induces a conformal transformation t_X of S^3 which preserves the orientation of S^3 : if for $y \in S^3$, $t_X(y)$ denotes a unique intersection of $L^+ \cap \{x^{(0)}=1\}$ with a line through the origin of \mathbf{R}^5 determined by the light-like vector $X(y)$, then t_X is a conformal transformation of S^3 which preserves the orientation of S^3 . Conversely, for each conformal transformation t of S^3 which preserves the orientation of S^3 , there exists a unique element X of G satisfying $t_X=t$. Therefore G may be identified with the group of the conformal transformations of S^3 which preserve the orientation of S^3 . The group G may be considered as a connected Lie group isomorphic to the identity component of $SO(4,1)$.

2.2. The conformal Gauss map and the induced metric

Let M be an oriented smooth two-dimensional manifold and $\iota: M \rightarrow S^3$ an immersion of M into S^3 . For each $X \in G$, let $e_{4,X}$ be a smooth vector field along M normal to S^3 at $t_X(\iota)$ and satisfying $e_{4,X} \in L^+$ and $\langle e_{4,X}, t_X(\iota) \rangle = -1$, and let $e_{3,X}$ be a smooth unit normal vector field on M with respect to $t_X \circ \iota: M \rightarrow S^3$ such that for each $p \in M$ and an ordered basis (v_1, v_2) of $T_p(M)$ which gives the orientation of M ,

$$(t_X(\iota(p)), d(t_X \circ \iota)(v_1), d(t_X \circ \iota)(v_2), e_{3,X}(p), e_{4,X}(p))$$

is an ordered basis of $T_{t_X(\iota(p))}(\mathbf{R}^5)$ which gives the orientation of \mathbf{R}^5 . Let H_X be the mean curvature of M with respect to $t_X \circ \iota: M \rightarrow S^3$. For the identity transformation $\text{id} \in G$, we set $e_4 := e_{4,\text{id}}$, $e_3 := e_{3,\text{id}}$ and $H := H_{\text{id}}$. Let $\gamma_{\iota,X}$ be a smooth map of M into the 4-dimensional de Sitter space $S_1^4 := \{x \in \mathbf{R}^5; \langle x, x \rangle = 1\}$ defined by $\gamma_{\iota,X} := e_{3,X} + H_X \cdot (t_X \circ \iota)$. We set $\gamma_\iota := \gamma_{\iota,\text{id}}$. Then $\gamma_{\iota,X} = \gamma_{t_X \circ \iota}$ holds. Let $\text{Reg}(M, \iota)$ be the set of the non-umbilical points of M with respect to $\iota: M \rightarrow S^3$. Let p be an element of $\text{Reg}(M, \iota)$ and U_p a neighborhood of p in $\text{Reg}(M, \iota)$. Let (u, v) be local coordinates on U_p such that each of $\partial/\partial u$ and $\partial/\partial v$ is in a principal direction with respect to $\iota: M \rightarrow S^3$ at each point of U_p . Let k_1 and k_2 be principal curvature functions on M with respect to $\iota: M \rightarrow S^3$ such that k_1 and k_2 correspond to $\partial/\partial u$ and $\partial/\partial v$, respectively. Suppose $k_1 > k_2$. The following hold:

$$d\gamma_\iota \left(\frac{\partial}{\partial u} \right) = -k_1 d\iota \left(\frac{\partial}{\partial u} \right) + Hd\iota \left(\frac{\partial}{\partial u} \right) + H_u \iota = -\frac{k_1 - k_2}{2} d\iota \left(\frac{\partial}{\partial u} \right) + H_u \iota, \quad (2)$$

$$d\gamma_\iota \left(\frac{\partial}{\partial v} \right) = -k_2 d\iota \left(\frac{\partial}{\partial v} \right) + Hd\iota \left(\frac{\partial}{\partial v} \right) + H_v \iota = -\frac{k_1 - k_2}{2} d\iota \left(\frac{\partial}{\partial v} \right) + H_v \iota. \quad (3)$$

Let g be the metric induced by ι and K the curvature of M with respect to g . We set

$$\varepsilon := \frac{k_1 - k_2}{2} = \sqrt{H^2 - K + 1}.$$

Then we see that $\gamma_\iota \mid_{\text{Reg}(M, \iota)}$ is a conformal immersion of $\text{Reg}(M, \iota)$ into S^4 such that $\tilde{g} := \varepsilon^2 g$ is the metric induced by $\gamma_\iota \mid_{\text{Reg}(M, \iota)}$. If p is an umbilical point of M with respect to ι , then for local coordinates (u, v) on a neighborhood of p , we may show that $(d\gamma_\iota)_p(\partial/\partial u)$ and $(d\gamma_\iota)_p(\partial/\partial v)$ are light-like. The map $\gamma_\iota : M \rightarrow S^4$ is called the *conformal Gauss map* of the immersion ι .

Remark. Let $\iota_0 : M \rightarrow \mathbf{R}^n$ be an immersion of M into $\mathbf{R}^n (n \geq 3)$ and H_0 the mean curvature vector of M with respect to ι_0 . Let g_0 be the metric induced by ι_0 , and K_0 and dA_0 the Gaussian curvature and the area element of M with respect to g_0 , respectively. We set $\varepsilon_0 := \sqrt{|H_0|^2 - K_0}$. Then $\varepsilon_0^2 dA_0$ is invariant under conformal transformations of $\mathbf{R}^n \cup \{\infty\}$ ([4] for a general $n \geq 3$, [2], [8] for $n=3$). In the following, we suppose that ι_0 is an immersion into \mathbf{R}^3 . Let p_0 be a point of S^3 and $\pi : S^3 \setminus \{p_0\} \rightarrow \mathbf{R}^3$ a stereographic projection from p_0 . Then π is the restriction of an inversion of $\mathbf{R}^4 \cup \{\infty\}$ on S^3 . Therefore for the immersion $\iota : M \rightarrow S^3$ satisfying $\pi \circ \iota = \iota_0$, noticing that the length of the mean curvature vector of M with respect to $\text{id} \circ \iota : M \rightarrow \mathbf{R}^4 (\text{id} : S^3 \rightarrow \mathbf{R}^4 \text{ is a natural embedding})$ is given by $\sqrt{H^2 + 1}$, we obtain $\varepsilon^2 dA = \varepsilon_0^2 dA_0$, where dA is the area element of M with respect to g . Noticing that π is conformal, we see that \tilde{g} is conformal to g_0 and we obtain

$$\tilde{g} = \varepsilon^2 g = \varepsilon_0^2 g_0. \quad (4)$$

In the following, suppose $\text{Reg}(M, \iota) = M$. Then $\text{Reg}(M, \iota_X \circ \iota) = M$ holds and $\gamma_{\iota, X}$ is a conformal immersion for any $X \in G$. Noticing the above remark, we see that $\varepsilon^2 dA$ is invariant under conformal transformations of S^3 . Therefore we see that the metric induced by $\gamma_{\iota, X}$ does not depend on the choice of $X \in G$. In addition, we shall show

$$\gamma_{\iota, X} = X \circ \gamma_\iota. \quad (5)$$

For each $X \in G$, there exist a smooth vector field $X(e_3)_+$ along M and a smooth function a_X on M satisfying

$$X(e_3) = X(e_3)_+ + a_X X(\iota), \quad X(e_3)_+ \in S^4 \cap \{x^{(0)} = 0\}.$$

Therefore we obtain

$$X(\gamma_\iota) = X(e_3) + H \cdot X(\iota) = X(e_3)_+ + (a_X + H)X(\iota).$$

We set $e_- := (-1, 0, 0, 0, 0)$ and $b_X := \langle e_-, X(\iota) \rangle$. Then $X(\iota) = b_X \iota_X(\iota)$ holds. Therefore noticing $e_{3, X} = X(e_3)_+$ in \mathbf{R}^5 , we obtain

$$X(\gamma_t) = e_{3,x} + (a_x + H)b_x t_x(\iota). \quad (6)$$

If F is a conformal map of M into S_1^4 represented as $F := e_{3,x} + f \cdot (t_x \circ \iota)$, where f is a smooth function on M , then F must be identically equal to $\gamma_{t,x}$, i.e., $f \equiv H_x$ must hold. Therefore noticing (6), we obtain $\gamma_{t,x} = X \circ \gamma_t$ and $H_x = (a_x + H)b_x$.

2.3. The mean curvature vector with respect to the conformal Gauss map

We set

$$\nu := \frac{1}{2} \left(\frac{H_u^2}{A^2 \varepsilon^2} + \frac{H_v^2}{B^2 \varepsilon^2} + H^2 \right) \iota - \frac{H_u}{A^2 \varepsilon} d\iota \left(\frac{\partial}{\partial u} \right) + \frac{H_v}{B^2 \varepsilon} d\iota \left(\frac{\partial}{\partial v} \right) + H e_3 + e_4, \quad (7)$$

where (u, v) are local coordinates on an open set U of M such that each of $\partial/\partial u$ and $\partial/\partial v$ is in a principal direction with respect to $\iota: M \rightarrow S^3$ at each point of U , and

$$A := \sqrt{g \left(\frac{\partial}{\partial u}, \frac{\partial}{\partial u} \right)}, \quad B := \sqrt{g \left(\frac{\partial}{\partial v}, \frac{\partial}{\partial v} \right)}.$$

Then we see the following: ν is an L^+ -valued function; ι and ν may be considered as normal vector fields on M with respect to $\gamma_t: M \rightarrow S_1^4$; $\langle \nu, \iota \rangle = -1$ holds. We shall compute the trace of the shape operator of M with respect to each of ι and ν . From (2) and (3), we obtain

$$\bar{\nabla}_{\partial_t \partial u} \iota = -\frac{1}{\varepsilon} d\gamma_t \left(\frac{\partial}{\partial u} \right) + \frac{H_u}{\varepsilon} \iota, \quad \bar{\nabla}_{\partial_t \partial v} \iota = \frac{1}{\varepsilon} d\gamma_t \left(\frac{\partial}{\partial v} \right) - \frac{H_v}{\varepsilon} \iota,$$

where $\bar{\nabla}$ is the covariant differentiation with respect to the Levi-Civita connection of $(\mathbf{R}^5, \langle, \rangle)$. Therefore we see that the trace of the shape operator of M with respect to ι is identically equal to zero. If we set

$$\bar{\nabla}_{\partial_t \partial u} \nu := c_{11} d\gamma_t \left(\frac{\partial}{\partial u} \right) + c_{12} d\gamma_t \left(\frac{\partial}{\partial v} \right) + c_{13} \gamma_t + c_{14} \nu + c_{10} \iota,$$

then we obtain

$$\begin{aligned} c_{11} &= \frac{H_{uu}}{A^2 \varepsilon^2} + \frac{H_u}{A \varepsilon} \left(\frac{1}{A \varepsilon} \right)_u + \frac{H_v}{B^2 \varepsilon^2} (\log A \varepsilon)_v \\ &\quad + \frac{H k_1}{\varepsilon} + \frac{1}{\varepsilon} - \frac{1}{2\varepsilon} \left(-\frac{H_u^2}{A^2 \varepsilon^2} - \frac{H_v^2}{B^2 \varepsilon^2} + H^2 \right); \end{aligned} \quad (8)$$

if we set

$$\bar{\nabla}_{\partial_t \partial v} \nu := c_{21} d\gamma_t \left(\frac{\partial}{\partial u} \right) + c_{22} d\gamma_t \left(\frac{\partial}{\partial v} \right) + c_{23} \gamma_t + c_{24} \nu + c_{20} \iota,$$

then we obtain

$$c_{22} = \frac{H_{vv}}{B^2 \varepsilon^2} + \frac{H_v}{B\varepsilon} \left(\frac{1}{B\varepsilon} \right)_v + \frac{H_u}{A^2 \varepsilon^2} (\log B\varepsilon)_u - \frac{Hk_2}{\varepsilon} - \frac{1}{\varepsilon} + \frac{1}{2\varepsilon} \left(-\frac{H_u^2}{A^2 \varepsilon^2} - \frac{H_v^2}{B^2 \varepsilon^2} + H^2 \right). \quad (9)$$

From (8) and (9), we see that the trace of the shape operator of M with respect to ν is equal to $-(\tilde{\Delta}H + 2H)$, where $\tilde{\Delta}$ is the Laplacian on M with respect to \tilde{g} .

Let \tilde{h} be the second fundamental form of M with respect to $\gamma_\iota: M \rightarrow S_1^4$. Then for two tangent vectors v_1, v_2 at a point of M and a smooth normal vector field ξ on M with respect to γ_ι ,

$$\tilde{g}(\tilde{A}_\xi(v_1), v_2) = \langle \tilde{h}(v_1, v_2), \xi \rangle \quad (10)$$

holds, where \tilde{A}_ξ is the shape operator of M with respect to ξ . Therefore by $\langle \nu, \iota \rangle = -1$ together with (10), we obtain

$$\tilde{\Delta}\gamma_\iota + 2\gamma_\iota = \frac{1}{A^2} \tilde{h} \left(\frac{\partial}{\partial u}, \frac{\partial}{\partial u} \right) + \frac{1}{B^2} \tilde{h} \left(\frac{\partial}{\partial v}, \frac{\partial}{\partial v} \right) = (\tilde{\Delta}H + 2H)\iota. \quad (11)$$

From (11), we see that $(\tilde{\Delta}H + 2H)\iota$ is the twice of the mean curvature vector \tilde{H} of M with respect to $\gamma_\iota: M \rightarrow S_1^4$. In addition, noticing (1) and $(H^2 - K + 1)\tilde{\Delta} = \Delta$, we see that $\gamma_\iota: M \rightarrow S_1^4$ is minimal if and only if $\iota: M \rightarrow S^3$ is Willmore.

Remark. We see that \tilde{H} is light-like.

Remark. We see that $\gamma_\iota: M \rightarrow S_1^4$ is minimal if and only if $\gamma_\iota^{(h)}$ is an eigenfunction of $\tilde{\Delta}$ such that the corresponding eigenvalue is equal to 2. This is an analogue of Takahashi's theorem ([6]).

3. Construction of a Willmore surface in S^3 from a space-like minimal surface in S_1^4

Let $\gamma: M \rightarrow S_1^4$ be a space-like immersion of M into S_1^4 such that the mean curvature vector \tilde{H} of M with respect to γ is represented by a smooth S^3 -valued function ι on M up to a constant at each point of M . Then we see that the trace of the shape operator \tilde{A}_ι of M with respect to ι is identically equal to zero. Let \tilde{g} be the metric induced by γ and V_1, V_2 smooth unit vector fields on an open set U of M perpendicular to each other with respect to \tilde{g} at any point of U . Then there exists a smooth function l on U satisfying

$$\langle \bar{\nabla}_{v_1} \iota, \bar{e}_1 \rangle = -l, \quad \langle \bar{\nabla}_{v_2} \iota, \bar{e}_2 \rangle = l,$$

where $\bar{e}_i := d\gamma(V_i)$ for $i=1,2$. Suppose that l is nowhere zero and positive-valued. In addition, suppose

$$\langle \bar{\nabla}_{v_1} \iota, \bar{e}_2 \rangle = \langle \bar{\nabla}_{v_2} \iota, \bar{e}_1 \rangle = 0. \quad (12)$$

Let e_i be a smooth vector field along M satisfying

$$\langle e_i, e_i \rangle = \frac{1}{\varepsilon^2}, \quad e_i \in \{x^{(0)}=0\}, \quad \frac{1}{\varepsilon} \bar{e}_i - e_i = c_i \iota,$$

where $\varepsilon := 1/l$. Then noticing $\langle \iota, \gamma \rangle = 0$, $\langle \iota, \iota \rangle = 0$, (12) and that ι is S^3 -valued, we obtain

$$\bar{\nabla}_{v_1} \iota = -e_1, \quad \bar{\nabla}_{v_2} \iota = e_2. \quad (13)$$

Therefore we may consider ι as an immersion of M into S^3 such that the metric g induced by ι is represented as $g = (1/\varepsilon^2) \bar{g}$. We represent γ as $\gamma := e_3 + H\iota$, where e_3 is a smooth vector field along M satisfying $e_3 \in S^4 \cap \{x^{(0)}=0\}$ and H is a smooth function on M . Then we see that e_3 is a unit normal vector field on M with respect to $\iota: M \rightarrow S^3$. By $\gamma = e_3 + H\iota$ together with (13), we obtain

$$d\gamma(V_1) = \bar{\nabla}_{v_1} e_3 - H e_1 + V_1(H)\iota, \quad d\gamma(V_2) = \bar{\nabla}_{v_2} e_3 + H e_2 + V_2(H)\iota.$$

Since $d\gamma(V_i) = \varepsilon(e_i + c_i \iota)$, we obtain

$$\bar{\nabla}_{v_1} e_3 = -(H + \varepsilon)d\iota(V_1), \quad \bar{\nabla}_{v_2} e_3 = -(H - \varepsilon)d\iota(V_2).$$

We set $k_1 := H + \varepsilon$, $k_2 := H - \varepsilon$. Then we see that k_1 and k_2 are principal curvature functions on M with respect to $\iota: M \rightarrow S^3$ and therefore we see that $H = (k_1 + k_2)/2$ is the mean curvature of M with respect to $\iota: M \rightarrow S^3$. Therefore referring to Section 2, we obtain

Theorem 3.1. *Let M be an oriented smooth two-dimensional manifold and $\gamma: M \rightarrow S^4$ a space-like immersion of M into S^4 such that the mean curvature vector \bar{H} of M with respect to γ is represented by a smooth S^3 -valued function ι on M up to a constant at each point of M . Let e_3 be a smooth vector field along M satisfying $e_3 \in S^4 \cap \{x^{(0)}=0\}$ and $\gamma = e_3 + H\iota$ for some smooth function H on M . Suppose that the shape operator \bar{A}_ι of M with respect to ι is nowhere zero. Then the following hold :*

- (a) ι may be considered as an immersion of M into S^3 ;
- (b) e_3 is a smooth unit normal vector field on M with respect to $\iota: M \rightarrow S^3$;
- (c) H is the mean curvature of M with respect to ι ;

- (d) $\text{Reg}(M, \iota) = M$ holds, i.e., M has no umbilical point with respect to ι ;
- (e) the metric g induced by ι is conformal to the metric \bar{g} induced by γ : if k_1, k_2 are distinct two principal curvature functions on M with respect to ι and if we set $\varepsilon := (k_1 - k_2)/2$, then $\bar{g} = \varepsilon^2 g$ holds;
- (f) the conformal Gauss map γ_ι of ι is equal to γ or $-\gamma$.

In particular, we obtain

Corollary 3.2. *Let M be an oriented smooth two-dimensional manifold and $\gamma: M \rightarrow S^1$ a space-like minimal immersion of M into S^1 . Let ι be a smooth normal vector field on M with respect to γ satisfying $\iota \in S^3$. Suppose that the shape operator \tilde{A}_ι of M with respect to ι is nowhere zero. Then $\iota: M \rightarrow S^3$ is a Willmore immersion of M into S^3 satisfying $\gamma_\iota = \gamma$ or $-\gamma$.*

Remark. Since γ is space-like, we see that there exist at most two Willmore immersions of M into S^3 such that the conformal Gauss map of each of them is equal to γ or $-\gamma$. Suppose that there exist such distinct two immersions ι_1, ι_2 . Then $\{\gamma_{\iota_1}, \gamma_{\iota_2}\} = \{\gamma, -\gamma\}$ holds. In [3], one of ι_1 and ι_2 is called the *Willmore dual* of the other.

4. A space-like minimal surface in S^1 such that the curvature is identically equal to one

We shall prove

Theorem 4.1. *Let M be an oriented smooth two-dimensional manifold and $\iota: M \rightarrow S^3$ a Willmore immersion of M into S^3 such that M has no umbilical point with respect to ι . Then $\iota(M)$ is the inverse image of a minimal surface in \mathbb{R}^3 by a stereographic projection if and only if the conformal Gauss map γ_ι of ι is a minimal immersion such that the curvature of M with respect to the metric \bar{g} induced by γ_ι is identically equal to one.*

In order to prove Theorem 4.1, we need lemmas.

Lemma 4.2. *Let M be an oriented smooth two-dimensional manifold and $\gamma: M \rightarrow S^1$ a space-like minimal immersion of M into S^1 such that the curvature of M with respect to the metric \bar{g} induced by γ is identically equal to one. Suppose that there exists a smooth normal vector field ι on M with respect to γ satisfying $\iota \in S^3$ and the condition that the shape operator \tilde{A}_ι is nowhere zero. Then there exists a vector $\nu_0 \in L^+$ such that $\gamma(M)$ is contained in a hyperplane $\{x \in \mathbb{R}^5; \langle x, \nu_0 \rangle = 0\}$ of \mathbb{R}^5 .*

Proof. Let \tilde{R} (respectively, \tilde{R}') be the curvature tensor field on (M, \tilde{g}) (respectively, (S^4, \langle, \rangle)). Then the equation of Gauss is given by

$$\begin{aligned} & \langle \tilde{R}'(X, Y)Z, W \rangle - \tilde{g}(\tilde{R}(X, Y)Z, W) \\ &= \langle \tilde{h}(X, Z), \tilde{h}(Y, W) \rangle - \langle \tilde{h}(X, W), \tilde{h}(Y, Z) \rangle, \end{aligned} \quad (14)$$

where X, Y, Z, W are smooth vector fields on an open set U of M and \tilde{h} is the second fundamental form of M with respect to γ . Let V_1 and V_2 be smooth vector fields on U satisfying $\tilde{g}(V_i, V_j) = \delta_{ij}$ ($i, j \in \{1, 2\}$). If we set $X := V_1, Y := V_2, Z := V_2, W := V_1$ in (14), then noticing

$$\langle \tilde{R}'(V_1, V_2)V_2, V_1 \rangle = \tilde{g}(\tilde{R}(V_1, V_2)V_2, V_1) = 1,$$

we obtain

$$\langle \tilde{h}(V_1, V_1), \tilde{h}(V_2, V_2) \rangle = \langle \tilde{h}(V_1, V_2), \tilde{h}(V_1, V_2) \rangle. \quad (15)$$

Let ν be a smooth normal vector field on M with respect to γ satisfying $\nu \in L^+$ and $\langle \nu, \nu \rangle = -1$. Then we may set $\tilde{h}(V_i, V_j) := a_{ij}\nu + b_{ij}\nu$. Then $a_{ij} = a_{ji}$ and $b_{ij} = b_{ji}$ hold. In addition, since γ is minimal, we obtain $a_{11} + a_{22} = 0, b_{11} + b_{22} = 0$. If we set

$$a_1 := a_{11} = -a_{22}, \quad a_2 = a_{12} = a_{21}, \quad b_1 := b_{11} = -b_{22}, \quad b_2 = b_{12} = b_{21},$$

then (15) is represented as

$$a_1 b_1 + a_2 b_2 = 0. \quad (16)$$

Since \tilde{A}_i is nowhere zero, we suppose that at each point of U , V_i is an eigenvector of \tilde{A}_i . Then noticing $\langle \nu, \nu \rangle = -1$ and (10), we obtain $b_2 \equiv 0$. Since we suppose that \tilde{A}_i is nowhere zero, we obtain $b_1 \neq 0$. Therefore from (16), we obtain $a_1 \equiv 0$. In the following, we set $a := a_2, b := b_1$. Let (u, v) be local coordinates on U satisfying $\partial/\partial u = AV_1$ and $\partial/\partial v = BV_2$, where A and B are smooth, positive-valued functions. Then the following hold:

$$\bar{\nabla}_{\partial/\partial u}\nu = \frac{A}{B}a\frac{\partial}{\partial v} + c_1\nu, \quad \bar{\nabla}_{\partial/\partial v}\nu = \frac{B}{A}a\frac{\partial}{\partial u} + c_2\nu, \quad (17)$$

where c_1 and c_2 are smooth functions. By (17), we obtain

$$\begin{aligned} \bar{\nabla}_{\partial/\partial u}\bar{\nabla}_{\partial/\partial u}\nu &= a\left(\frac{A}{B}\Gamma_{22}^1 + \frac{B}{A}c_1\right)\frac{\partial}{\partial u} + \left\{\left(\frac{A}{B}a\right)_v + \frac{A}{B}a\Gamma_{22}^2\right\}\frac{\partial}{\partial v} \\ &+ \{-ABab + (c_1)_v + c_1c_2\}\nu - ABa\gamma, \end{aligned} \quad (18)$$

$$\begin{aligned} \bar{\nabla}_{\partial/\partial u} \bar{\nabla}_{\partial/\partial v} \nu = & \left(\left(\frac{B}{A} a \right)_u + \frac{B}{A} a \Gamma_{11}^1 \right) \frac{\partial}{\partial u} + a \left(\frac{B}{A} \Gamma_{11}^2 + \frac{A}{B} c_2 \right) \frac{\partial}{\partial v} \\ & + \{ABab + (c_2)_u + c_1 c_2\} \nu - ABa\gamma, \end{aligned} \quad (19)$$

where $\Gamma_{ij}^k(i, j, k=1, 2)$ are the Christoffel's symbols of the Levi-Civita connection of (M, \bar{g}) with respect to local coordinates (u, v) . From (18), (19) and $\bar{\nabla}_{\partial/\partial v} \bar{\nabla}_{\partial/\partial u} \nu = \bar{\nabla}_{\partial/\partial u} \bar{\nabla}_{\partial/\partial v} \nu$, we obtain

$$\left(\frac{B}{A} a \right)_u + \left(\frac{B}{A} \Gamma_{11}^1 - \frac{A}{B} \Gamma_{22}^2 - \frac{B}{A} c_1 \right) a = 0, \quad (20)$$

$$\left(\frac{A}{B} a \right)_v + \left(\frac{A}{B} \Gamma_{22}^2 - \frac{A}{B} \Gamma_{11}^1 - \frac{A}{B} c_2 \right) a = 0, \quad (21)$$

$$2ABab - (c_1)_v + (c_2)_u = 0. \quad (22)$$

The following hold :

$$\Gamma_{11}^1 = (\log A)_u, \quad \Gamma_{11}^2 = -\frac{AA_u}{B^2}, \quad \Gamma_{22}^1 = -\frac{BB_v}{A^2}, \quad \Gamma_{22}^2 = (\log B)_v. \quad (23)$$

If $a \neq 0$, then by (20), (21) and (23), we obtain $(c_1)_v = (c_2)_u$. This contradicts (22). Therefore we obtain $a \equiv 0$. Then from (17), we obtain $\bar{\nabla}_{\partial/\partial u} \nu = c_1 \nu$ and $\bar{\nabla}_{\partial/\partial v} \nu = c_2 \nu$. Since $(c_1)_v = (c_2)_u$, there exists a smooth function f satisfying $f_u = c_1$ and $f_v = c_2$. Then we see that $\bar{\nabla}_{\partial/\partial u}(e^{-f} \nu)$ and $\bar{\nabla}_{\partial/\partial v}(e^{-f} \nu)$ are identically zero. Therefore $\nu_0 := e^{-f} \nu$ is constant. Hence we have proved Lemma 4.2. \square

Lemma 4.3. ([3]) *Let $\iota : M \rightarrow S^3$ be an immersion of M into S^3 and ν as in (7). Then for each point $p \in M$, a unique intersection of $S^3 = L^+ \cap \{x^{(0)} = 1\}$ with a line through the origin of \mathbb{R}^5 determined by $\nu(p)$ is contained in a sphere in S^3 tangent to M at $\iota(p)$ such that the mean curvature is equal to $H(p)$.*

For the proof of Lemma 4.3, see [3, pp. 33].

Proof of Theorem 4.1. Let $\omega_0 : M \rightarrow \mathbb{R}^3$ be a minimal immersion of M into \mathbb{R}^3 such that M has no umbilical point with respect to ω_0 . Then on a neighborhood U_p of each point p of M , there exist isothermal coordinates (u, v) and a smooth, positive-valued function A satisfying

- (a) the metric g_0 induced by ω_0 is represented as $A^2(du^2 + dv^2)$ on U_p ;
- (b) each of $\partial/\partial u$ and $\partial/\partial v$ is in a principal direction with respect to ω_0 at each point of U_p ;
- (c) the Gaussian curvature K_0 of M with respect to g_0 is represented as $K_0 = -1/A^4$ on U_p .

Let $\pi : S^3 \setminus \{p_0\} \rightarrow \mathbb{R}^3$ be a stereographic projection and $\iota : M \rightarrow S^3$ the immersion satisfying $\pi \circ \iota = \iota_0$. Then by (4), we see that the metric \bar{g} induced by the conformal Gauss map of ι is represented as $\bar{g} = -K_0 g_0$. Then $\bar{g} = A^{-2}(du^2 + dv^2)$ holds on U_p . Let \bar{K} be the curvature of M with respect to \bar{g} . Then $\bar{K} = -\bar{\Delta} \log(1/A)$ holds. Since $\bar{\Delta} = -K_0^{-1} \Delta_0 = A^4 \Delta_0$, where Δ_0 is the Laplacian on M with respect to g_0 , we obtain $\bar{K} = A^4 \Delta_0 \log A$. In addition, since $\Delta_0 \log A = -K_0 = 1/A^4$, we obtain $\bar{K} \equiv 1$.

Let $\iota : M \rightarrow S^3$ be a Willmore immersion of M into S^3 such that M has no umbilical point with respect to ι , and suppose that the conformal Gauss map γ_ι of ι is a minimal immersion such that the curvature of M with respect to the metric \bar{g} induced by γ_ι is identically equal to one. Then by Lemma 4.2, we see that there exists a vector $\nu_0 \in L^+$ such that $\gamma_\iota(M)$ is contained in the hyperplane of \mathbb{R}^5 determined by ν_0 . Then by Lemma 4.3, we see that for any point $p \in M$, a sphere in S^3 tangent to M at $\iota(p)$ such that the mean curvature is equal to $H(p)$ contains the point x_0 of S^3 determined by ν_0 . Let π_0 be the stereographic projection from x_0 . Then $\pi_0 \circ \iota$ is a minimal immersion. Hence we obtain Theorem 4.1. \square

Remark. Let $\iota : M \rightarrow S^3$ be a minimal immersion such that M has no umbilical point with respect to ι . Then on a neighborhood U_p of each point p of M , there exist isothermal coordinates (u, v) and a smooth, positive-valued function A satisfying the following: (a) the metric g induced by ι is represented as $A^2(du^2 + dv^2)$ on U_p ; (b) each of $\partial/\partial u$ and $\partial/\partial v$ is in a principal direction with respect to ι at each point of U_p ; (c) the curvature K of M with respect to the metric g is represented as $K = 1 - 1/A^4$ on U_p . The metric \bar{g} induced by γ_ι is represented as $\bar{g} = A^{-2}(du^2 + dv^2)$. Then the curvature \bar{K} of M with respect to \bar{g} is represented as $\bar{K} = \bar{\Delta} \log A$. Since

$$\bar{\Delta} = (1 - K)^{-1} \Delta = A^4 \Delta, \quad \Delta \log A = -K = -1 + 1/A^4,$$

we obtain $\bar{K} = 1 - A^4 < 1$.

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