

## ON THE AUTOMORPHISMS OF CHEVALLEY GROUPS OVER $p$ -ADIC INTEGER RINGS

*Dedicated to Professor Kenzo Iizuka on his 60th birthday*

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**Introduction.** Let  $G$  be a simple and simply connected Chevalley-Demazure group scheme associated with a connected complex simple Lie group of type  $\emptyset$ . For any commutative ring  $R$  with 1, let  $G(R)$  be the group of  $R$ -points of  $G$  (for the definition see E. Abe [1]). It is well known that if  $R$  is a perfect field, then any automorphism of  $G(R)$  can be expressed as the product of an inner, a diagonal, a graph and a field automorphism (see R. Steinberg [5]).

In this note, for a local ring, we shall give a sufficient condition for an automorphism of  $G(R)$  to be expressed by the same product as above, and furthermore for a complete  $p$ -adic integer ring  $\mathfrak{o}_p$ , we shall show that any automorphism of  $G(\mathfrak{o}_p)$  is expressed by the same product as above except some cases. The main theorems are stated in Section 1 and are proved in Section 2 and 3.

### 1. The statement of the main theorems.

1.1. Let  $G$  be a simple and simply connected Chevalley-Demazure group scheme and  $R$  be a local ring. Let  $x_\alpha(t)$  be the unipotent element of  $G(R)$  associated with a root  $\alpha$  of  $\emptyset$  and  $t \in R$ . Assume that 2 is a unit element of  $R$  if  $G$  is symplectic. Then in M. Stein [4], it is known that for rank  $\emptyset > 1$  (resp. rank  $\emptyset = 1$ ), the following relations  $A, B, C$ , (resp.  $A, B', C$ ) is a complete set of relations for  $G(R)$ .

$$A) \quad x_\alpha(s)x_\alpha(t) = x_\alpha(s+t) \text{ for root } \alpha \in \emptyset \text{ and } s, t \in R$$

$$B) \quad [x_\alpha(s), x_\alpha(t)] = \prod_{i\alpha + j\beta} x_{i\alpha + j\beta}(N_{\alpha\beta ij} s^i t^j)$$

for all  $\alpha, \beta \in \emptyset$  such that  $\alpha + \beta \neq 0$ , where the product is in some order and  $N_{\alpha\beta ij}$  are certain integers depend only the root system (for elements  $a, b$  of a group, we denote by  $[a, b] = aba^{-1}b^{-1}$ ).

$$B') \quad w_\alpha(t)x_\alpha(u)w_\alpha(-t) = x_{-\alpha}(-t^{-2}u) \text{ for } t \in R^* \text{ and } u \in R.$$

where  $R^*$  is the set of unit elements of  $R$  and  $w_\alpha(t) = x_\alpha(t)x_{-\alpha}(-t^{-1})x_\alpha(t)$  for

$t \in R^*$ .

C)  $h_\alpha(uv) = h_\alpha(u)h_\alpha(v)$  for  $u, v \in R^*$ ,

where  $h_\alpha(u) = w_\alpha(u)w_{-\alpha}(-1)$  for  $u \in R^*$ .

Now we describe the three kinds of automorphisms of  $G(R)$ . Denote by  $L_0$  the  $Z$ -module generated by all simple roots. Let  $f_\alpha \in R^*$  for any simple root  $\alpha \in \Phi$ . Let  $f$  be extended to a homomorphism of  $L_0$  into  $R^*$ . Define the map  $\phi_1$  of  $\{x_\alpha(t)\}_{\alpha \in \Phi, t \in R}$  onto itself by

$$x_\alpha(t) \longrightarrow x_\alpha(f_\alpha t) \text{ for all } \alpha \in \Phi, t \in R.$$

On the other hand, let  $\gamma$  be a ring automorphism of  $R$ . Define the map  $\phi_2$  of  $\{x_\alpha(t)\}_{\alpha \in \Phi, t \in R}$  onto itself by

$$x_\alpha(t) \longrightarrow x_\alpha(t^\gamma) \text{ for all } \alpha \in \Phi, t \in R.$$

Then the maps  $\phi_1$  and  $\phi_2$  can be extended to automorphisms  $\bar{\phi}_1$  and  $\bar{\phi}_2$  of  $G(R)$  respectively since the relations  $A, B$  and  $C$  are preserved by them.  $\bar{\phi}_1$  and  $\bar{\phi}_2$  are called the diagonal and the ring automorphism of  $G(R)$  respectively.

Let  $p = (\alpha_0, \alpha_0) / (\beta_0, \beta_0)$  with  $\alpha_0$  long,  $\beta_0$  short. Let  $\sigma$  be an angle preserving permutation of the simple root,  $\sigma \neq 1$ . If two length occur, assume that  $p$  is equal to 0 in  $R$  and the map:  $x \longrightarrow x^p$  is a ring automorphism of  $R$ . Then there exists an automorphism  $\phi_3$  of  $G(R)$  and signs  $\varepsilon_\alpha$  ( $\varepsilon_\alpha = 1$  if  $\alpha$  or  $-\alpha$  simple) such that

$$\phi_3 x_\alpha(t) = \begin{cases} x_{\sigma\alpha}(\varepsilon_\alpha t) & \text{if } \alpha \text{ is long or all roots are of one length.} \\ x_{\sigma\alpha}(\varepsilon_\alpha t^p) & \text{if } \alpha \text{ is short.} \end{cases}$$

The automorphism of  $G(R)$  of this type are called graph automorphisms (see R. Steinberg [5]).

1.2. THEOREM A. *Let  $G$  be a simple and simply connected Chevalley-Demazure group scheme,  $R$  a local ring,  $M$  the maximal ideal of  $R$ ,  $k = R/M$  the residue class field. Assume that  $ch(k) \neq 2$ , and further if  $G$  is of type  $A_1$  then  $k \neq F_3$ , if  $G$  is of type  $G_2$  then  $ch(k) \neq 3$ . Let  $\sigma$  be an automorphism of  $G(R)$  such that  $\sigma U(R) = U(R)$  where  $U(R)$  is the subgroup of  $G(R)$  generated by  $x_\alpha(t)$  for all positive roots and  $t \in R$ . Then  $\sigma$  is expressed by the product of an inner, a graph and a ring automorphism.*

N. B. Under the hypotheses of the above Theorem, there exists no graph automorphism of  $G(R)$  if two length occur.

1.3. Let  $K$  be an algebraic number field of finite degree over  $\mathbb{Q}$ ,  $\mathfrak{o}$  its ring of integers,  $\mathfrak{p}$  a prime of  $\mathfrak{o}$ ,  $K_{\mathfrak{p}}$  the completion of  $K$  at the valuation with respect to  $\mathfrak{p}$ ,  $\mathfrak{o}_{\mathfrak{p}}$  the ring of integers of  $K_{\mathfrak{p}}$ ,  $\mathfrak{p}'$  the maximal ideal of  $\mathfrak{o}_{\mathfrak{p}}$  and  $k = \mathfrak{o}_{\mathfrak{p}}/\mathfrak{p}'$ .

**THEOREM B.** *Let  $G$  be the same as theorem A. Assume that  $ch(k) \neq 2$ , and if  $G$  are of type  $A_3$ ,  $B_m (m \geq 2)$ ,  $C_n$ ,  $D_n (n \geq 3)$  and  $F_4$  then  $k \neq F_3$  and if  $G$  is of type  $G_2$  then  $ch(k) \neq 3$ . Then any automorphism of  $G(\mathfrak{o}_{\mathfrak{p}})$  can be expressed as the product of an inner, a diagonal, a graph and a ring automorphism.*

## 2 A proof of theorem A.

2.1. Under the same notations as above, let  $\mathfrak{a}$  be an ideal of a commutative ring  $R$ . Denote by  $U(\mathfrak{a})$  (resp.  $V(\mathfrak{a})$ ) the subgroup of  $G(R)$  generated by  $x_{\alpha}(t)$  for all positive (resp. all negative) roots  $\alpha$  of  $\mathfrak{O}$  and  $t \in \mathfrak{a}$ . Let  $T$  be the maximal torus of  $G$ . Set  $B(R) = U(R)T(R)$ , and let  $N(R)$  be the subgroup of  $G(R)$  generated by  $w_{\alpha}(u)$  for all roots  $\alpha$  of  $\mathfrak{O}$  and  $u \in R^*$  where denote  $w_{\alpha}(u) = x_{\alpha}(u)x_{-\alpha}(-u^{-1})x_{\alpha}(u)$  and  $N(R)/T(R) \cong W(\mathfrak{O})$ . Let  $G(f): G(R) \rightarrow G(R/\mathfrak{a})$  be the group homomorphism induced by the natural homomorphism  $R \rightarrow R/\mathfrak{a}$ . Denote by  $G(R, \mathfrak{a})$  (resp.  $G^*(R, \mathfrak{a})$ ) the kernel (resp. the inverse image of the center of  $G(R/\mathfrak{a})$ ) of  $G(f)$ .

2.2. **LEMMA.** *Let  $G$  be a simple and simply connected Chevalley-Demazure group scheme,  $R$  a local ring,  $\mathfrak{m}$  the maximal ideal of  $R$  and  $k = R/\mathfrak{m}$ . Assume that if  $G$  is of type  $A_1$  then  $ch(k) \neq 2$  and  $k \neq F_3$ , and if  $G$  is of type  $B_2$  or  $G_2$  then  $k \neq F_2$ . Then  $G^*(R, \mathfrak{m})$ ,  $G(R, \mathfrak{m})$  are characteristic subgroups of  $G(R)$ .*

**PROOF.** Let  $\sigma$  be any automorphism of  $G(R)$ . By a characterization of normal subgroups of  $G(R)$  in E. Abe [1], there exists an ideal  $\mathfrak{a}$  of  $R$  such that

$$G^*(R, \mathfrak{a}) \supset \sigma G^*(R, \mathfrak{m}) \supset G(R, \mathfrak{a}).$$

Let  $E(R)$  be the subgroup of  $G(R)$  generated by  $x_{\alpha}(t)$  for all roots  $\alpha$  and  $t \in R$ . Then by the simply connectedness of  $G$ ,  $G(R) = E(R)$ , hence the map:  $G(R) \rightarrow G(R/\mathfrak{b})$  is surjective for any ideal  $\mathfrak{b}$  of  $R$ , therefore we have  $G^*(R, \mathfrak{m}) \supset G^*(R, \mathfrak{a})$ . By the maximality of  $\sigma G^*(R, \mathfrak{m})$ , we have  $\sigma G^*(R, \mathfrak{m}) = G^*(R, \mathfrak{m})$ .

On the other hand, for the normal subgroup  $\sigma G(R, \mathfrak{m})$ , there exists an ideal  $\mathfrak{c}$  such that

$$G^*(R, c) \supset \sigma G(R, m) \supset G(R, c).$$

Firstly assume  $m=c$ . Since  $G^*(R, m)/G(R, m)$  is isomorphic to the center of  $G(k)$ , we have  $[G^*(R, m): G(R, m)] < \infty$  where for a subgroup  $B$  of a group  $A$ , we denote by  $[A: B]$  the index of  $B$  in  $A$ . Then we have  $\sigma G(R, m) \supset G(R, m)$  and

$$[G^*(R, m): G(R, m)] = [\sigma G^*(R, m): \sigma G(R, m)] = [G^*(R, m): \sigma G(R, m)]$$

and so  $G(R, m) = \sigma G(R, m)$ . Secondly we will show that  $m=c$ . Assume  $m \not\subseteq c$ . In E. Abe [1] we have seen that

$G^*(R, \mathfrak{b}) = U(\mathfrak{b})T^*(\mathfrak{b})V(\mathfrak{b})$  for any proper ideal  $\mathfrak{b}$  of  $R$  where  $T^*(\mathfrak{b}) = T(R) \cap G(R, \mathfrak{b})$ . For  $m \in \mathfrak{m}$ ,  $m \notin c$ ,

$[x_\alpha(m), x_{-\alpha}(1)] = x_\alpha(-m^2/(1-m))h_\alpha(1/(1-m))x_{-\alpha}(m/(1-m)) \notin G^*(R, c)$ . On the other hand,

$$[x_\alpha(m), x_{-\alpha}(1)] \in G(R, \mathfrak{m}).$$

Therefore,  $G^*(R, c) \not\supset \sigma G(R, m)$ . This is a contradiction, and it must be  $m=c$ .

2.4. LEMMA. Assume the hypothesis of Theorem A, Set  $B(R) = U(R)T(R)$ ,  $B^-(R) = V(R)T(R)$ . Then for an automorphism  $\sigma$  of  $G(R)$  such that  $\sigma(U(R)) = U(R)$ , there exists an element  $u$  in  $U(R)$  which satisfy the followings

$u \circ \sigma(B(R)) = (R)$ ,  $u \circ \sigma(B^-(R)) = R^-(B)$ ,  $u \circ \sigma(V(R)) = V(R)$ ,  $u \circ \sigma(T(R)) = T(R)$  where  $u \circ \sigma$  is the product of  $\sigma$  and the inner automorphism induced by  $u$ .

PROOF. By 2.1. Lemma, we see that  $\sigma$  induces the automorphism  $\bar{\sigma}$  of  $G(k)$  and  $\bar{\sigma}U(k) = U(k)$ . There exists an element  $\bar{w}_0$  of  $N(k)$  such that  $\bar{w}_0 U(k) \bar{w}_0^{-1} = U(k)$  and  $\bar{w}_0 = \bar{w}_{\alpha_1}(1) \cdots \bar{w}_{\alpha_r}(1)$  where  $\bar{w}_{\alpha_i}(1)$  is the image of  $w_{\alpha_i}(1)$  under the map:  $G(R) \rightarrow G(k)$ . We can write  $\bar{\sigma}(\bar{w}_0) = \bar{u}\bar{w}\bar{u}'\bar{t}$  where  $\bar{u}, \bar{u}' \in U(k)$ ,  $\bar{w} \in N(k)$ ,  $\bar{t} \in T(k)$ , then  $\bar{\sigma}(V(k)) = \bar{\sigma}(\bar{w}_0)\bar{\sigma}(U(k))\bar{\sigma}(\bar{w}_0)^{-1} = \bar{u}\bar{w}U(k)\bar{w}^{-1}\bar{u}'^{-1}$ . Since  $U(k) \cap V(k) = 1$ , we have  $\bar{u}\bar{w}U(k)\bar{w}^{-1}\bar{u}'^{-1} \cap U(k) = 1$ , hence  $\bar{w}U(k)\bar{w}^{-1} \cap U(k) = 1$  and so  $\bar{w} = \bar{h}\bar{w}_0$  for some  $\bar{h} \in T(k)$ . Let  $T(\mathfrak{m})$  be the subgroup of  $T(R)$  generated by all  $h(\chi)$  such that  $\chi(\alpha) \equiv 1 \pmod{\mathfrak{m}}$  for all  $\alpha \in \Phi$ . In E. Abe [1] we have seen that  $G(R, \mathfrak{m}) = U(\mathfrak{m})T(\mathfrak{m})V(\mathfrak{m})$ . Setting  $w_0 = w_{\alpha_1}(1) \cdots w_{\alpha_r}(1)$  in  $G(R)$ , it holds  $\sigma(w_0) = utvw_0u'$  where  $u, u' \in U(R)$ ,  $t \in T(R)$ ,  $v \in V(\mathfrak{m})$ . Hence we have  $\sigma(V(R)) = uV(R)u^{-1}$ . Setting  $\sigma' = u^{-1} \circ \sigma$ , we have  $\sigma'(U(R)) = U(R)$ ,  $\sigma'(V(R)) = V(R)$ . Since the normalizer of  $U(R)$  (resp.  $V(R)$ ) is  $B(R)$  (resp.  $B^-(R)$ ) (see N. B. of 3.2. Lemma), it holds that  $\sigma'(B(R)) = B(R)$  and

$\sigma'(B^-(R)) = B^-(R)$ . By  $B(R) \cap B^-(R) = T(R)$ , it holds  $\sigma'(T(R)) = T(R)$ .

2.5. Let  $\sigma$  be an automorphism of  $G(R)$  normalized in above Lemma. Assume that 2 is a unit element of  $R$ , then  $N(R)$  is the normalizer of  $T(R)$ , hence  $\sigma$  fixes  $N(R)$ . Setting  $B = B(R)V(\mathfrak{m})$ , we have  $B = B(R)G(R, \mathfrak{m})$  and  $\sigma B = B$ . On the other hand  $\alpha$  is a simple root if and only if  $B \cap Bw_\alpha(1)B$  is a subgroup of  $G(R)$ . Hence we have  $\sigma(w_\alpha(1)) = w_\beta(1)t$  for some simple root  $\beta$  and  $t \in T(R)$ .

$V(R) \cap w_\alpha(1)B(R)w_\alpha(1)^{-1} = x_{-\alpha}(R)$  for simple root  $\alpha$ , it holds

$$\begin{aligned} \sigma(x_{-\alpha}(R)) &= \sigma(V(R) \cap w_\alpha(1)B(R)w_\alpha(1)^{-1}) \\ &= V(R) \cap w_\beta(1)B(R)w_\beta(1)^{-1} \\ &= x_{-\beta}(R). \end{aligned}$$

By the same way we have  $\sigma(x_\alpha(R)) = x_\beta(R)$ .

Let  $\rho$  be the map of the set of simple roots into itself defined by  $\alpha \rightarrow \beta$ . Since  $\sigma(x_\alpha(1)) = x_\beta(t)$  for some  $t \in R^*$ , we can define the map  $\chi$  of the set of simple roots into  $R^*$  by  $\alpha \rightarrow t$ . Hence by the map  $x_\alpha(a) \rightarrow x_\alpha(\chi(\alpha)^{-1}a)$  for simple roots  $\alpha$ , we have the diagonal automorphism  $\psi$  of  $G(R)$  such that  $\psi \circ \sigma(x_\alpha(1)) = x_{\rho\alpha}(1)$  for any simple root  $\alpha$ .

The following three Lemmas are proved by the same way as in R. Steinberg [4], therefore we shall omit the proof of them.

2.6. LEMMA. *Under the hypotheses of Theorem A, an automorphism  $\sigma$  of  $G(R)$  such that  $\sigma(U(R)) = U(R)$  is normalized by a diagonal automorphism so that*

- 1)  $\sigma x_\alpha(1) = x_{\rho\alpha}(1)$  for all simple root  $\alpha$ ,
- 2)  $w_\alpha(1) = w_{\rho\alpha}(1)$ ,
- 3)  $\rho$  preserves angles.

2.7. LEMMA. *Under the hypotheses of Theorem A, an automorphism  $\sigma$  of  $G(R)$  such that  $\sigma(U(R)) = U(R)$  is normalized by a diagonal and graph automorphism so that*

$$\sigma x_\alpha(R) = x_\alpha(R) \text{ and } \sigma x_\alpha(1) = x_\alpha(1) \text{ for any simple roots } \alpha.$$

2.8. LEMMA. *Let  $\sigma$  be an automorphism of  $G(R)$ . Assume that  $\sigma x_\alpha(R) = x_\alpha(R)$*

and  $\sigma x_\alpha(1) = x_\alpha(1)$  for all simple roots  $\alpha$ . Then  $\sigma$  is a ring automorphism.

Here we have proved Theorem A completely.

### 3. A proof of Theorem B.

Throughout this Section we shall use the same notation as 3.1. Let  $\mathfrak{o}_p$  be the ring of integers of  $K_p$ ,  $\mathfrak{p}$  the maximal ideal of  $\mathfrak{o}_p$ .

3.1. LEMMA. *Let  $G$  be a simple and simply connected Chevalley-Demazure group scheme. Assume that if  $G$  is of type  $A_1$  then  $ch(k) \neq 2$  and  $k \neq F_3$ , and if  $G$  is of type  $B_2$  or  $G_2$  then  $k \neq F_2$ . Then for each rational integer  $i$ ,  $G^*(\mathfrak{o}_p, \mathfrak{p}^i)$  and  $G(\mathfrak{o}_p, \mathfrak{p}^i)$  are characteristic subgroups of  $G(\mathfrak{o}_p)$ .*

PROOF. Denoting  $R = \mathfrak{o}_p$ , we shall prove this Lemma by using the induction for  $i$ . If  $i=1$ , then by 1.2. Lemma, our Lemma is clear. For any automorphism  $\sigma$  of  $G(R)$ , we have that for  $G^*(R, \mathfrak{p}^i)$ , there exists an ideal  $\mathfrak{p}^j$  such that

$$G^*(R, \mathfrak{p}^j) \supset \sigma G^*(R, \mathfrak{p}^i) \supset G(R, \mathfrak{p}^j).$$

Assume  $i > j$ . By the induction assumptions  $G^*(R, \mathfrak{p}^j)$  and  $G(R, \mathfrak{p}^j)$  are characteristic, hence we have

$$G^*(R, \mathfrak{p}^j) \supset G^*(R, \mathfrak{p}^i) \supset G(R, \mathfrak{p}^j).$$

On the other hand, for  $t \in \mathfrak{p}^j$  and  $t \notin \mathfrak{p}^i$ , we have  $x_\alpha(t) \in G(R, \mathfrak{p}^j)$  and  $x_\alpha(t) \notin G^*(R, \mathfrak{p}^i)$ . Therefore  $G^*(R, \mathfrak{p}^i) \not\supset G(R, \mathfrak{p}^j)$ , it is contradiction. Hence it must be  $i \leq j$ . Using the induction assumption, it holds

$$\begin{aligned} G^*(R, \mathfrak{p}^{i-1})/G^*(R, \mathfrak{p}^i) &\cong \sigma G^*(R, \mathfrak{p}^{i-1})/\sigma G^*(R, \mathfrak{p}^i) \\ &\cong G^*(R, \mathfrak{p}^{i-1})/\sigma G^*(R, \mathfrak{p}^i). \end{aligned}$$

Since the order of  $G(R)/G(R, \mathfrak{p}^j)$  is finite and  $G^*(R, \mathfrak{p}^{i-1})/G^*(R, \mathfrak{p}^j)$  is a homomorphic image of  $G^*(R, \mathfrak{p}^{i-1})/G^*(R, \mathfrak{p}^i)$ , we have

$$[G^*(R, \mathfrak{p}^{i-1}) : G^*(R, \mathfrak{p}^i)] \geq [G^*(R, \mathfrak{p}^{i-1}) : G^*(R, \mathfrak{p}^j)]$$

On the other hand

$$\begin{aligned} & [G^*(R, p^{i-1}): G^*(R, p^i)][G^*(R, p^i): G^*(R, p^j)] \\ & = [G^*(R, p^{i-1}): G^*(R, p^j)] \end{aligned}$$

Hence  $G^*(R, p^i) = G^*(R, p^j)$ . Therefore we have

$$\begin{aligned} & [G^*(R, p^{i-1}): G^*(R, p^i)] = [\sigma G^*(R, p^{i-1}): \sigma G^*(R, p^i)] \\ & = [G^*(R, p^{i-1}): G^*(R, p^j)][G^*(R, p^j): \sigma G^*(R, p^i)] \\ & = [G^*(R, p^{i-1}): G^*(R, p^i)][G^*(R, p^i): \sigma G^*(R, p^i)]. \end{aligned}$$

Hence  $[G^*(R, p^i): \sigma G^*(R, p^i)] = 1$  and we have  $G^*(R, p^i) = \sigma G^*(R, p^i)$ .

By the same way, we can easily prove that  $G(R, p^i)$  is characteristic.

3.2. LEMMA. *Let  $\mathfrak{a}$  be a non-zero ideal of  $\mathfrak{v}_p$ . Then the normalizer of  $U(\mathfrak{a})$  in  $G(\mathfrak{v}_p)$  is equal to  $B(\mathfrak{v}_p)$ .*

PROOF. Denote  $R = \mathfrak{v}_p$ . It is clear that  $B(R)$  normalizes  $U(\mathfrak{a})$ . Hence it is sufficient to show that for any element  $x$  of  $G(R)$  such that  $x \notin B(R)$ , it holds  ${}^x U(\mathfrak{a}) \not\subset U(\mathfrak{a})$  where  ${}^x A = xAx^{-1}$  for a subgroup  $A$  of  $G(R)$ . By the Bruhat decomposition of  $G(R)$ , we can write  $x = uvwtu'$  where  $u, u' \in U(R)$ ,  $v \in V(\mathfrak{p})$ ,  $w \in W$  where we denote a representative element of  $w$  in  $N(R)$  by the same symbol  $w$ . Firstly assume  $w \neq 1$ . Denote by  $V'(\mathfrak{a})$  the subgroup generated by  $x_{w(\alpha)}(\mathfrak{a})$  for all  $w(\alpha) < 0$  and  $\sigma \in \Phi$ . Then it holds  $V'(\mathfrak{a}) \neq 1$  and so  ${}^{uv} V'(\mathfrak{a}) \subset {}^x U(\mathfrak{a}) \subset G(R, \mathfrak{a})$ , hence  ${}^{uv} V'(\mathfrak{a}) \subset U(\mathfrak{a})T(\mathfrak{a})V(\mathfrak{a})$ . If  ${}^{uv} V'(\mathfrak{a}) \subset U(\mathfrak{a})$ , then it holds  ${}^v V'(\mathfrak{a}) \subset U(\mathfrak{a}) \cap V(\mathfrak{a}) = 1$ , it is contradictory to  ${}^v V'(\mathfrak{a}) \neq 1$ . Hence  ${}^x U(\mathfrak{a}) \not\subset U(\mathfrak{a})$ . Secondly assume  $w = 1$ . Then we can write  $x = vut$  where  $u \in U(R)$ ,  $v \in V(P)$ ,  $t \in T(R)$  and  $v \neq 1$ . Hence  ${}^x U(\mathfrak{a}) = {}^v U(\mathfrak{a})$ . Set  $v = x_{-\beta_1}(b_1) \cdots x_{-\beta_r}(b_r)$  where each  $\beta_i$  are positive roots and  $\beta_1 < \dots < \beta_r$ ,  $b_i \in R$  ( $b_i \neq 0$ )  $i = 1, 2, \dots, r$ . If  $\beta_1$  is a simple root, then for  $a \in \mathfrak{a}$  ( $a \neq 0$ ) we have

$$\begin{aligned} [x_{\beta_1}(a), v] &= [x_{\beta_1}(a), x_{-\beta_1}(b_1)]y \\ &= x_{\beta_1}(c)h_{\beta_1}(d)y \end{aligned}$$

where  $c \in \mathfrak{a}$ ,  $d = 1/(1 + ab_1) \neq 1$ ,  $y \in V(\mathfrak{a})$ , hence  ${}^v x_{\beta_1}(a) \notin U(\mathfrak{a})$ . If  $\beta_1$  is not simple, then there exists a positive root  $\alpha$  such that  $\alpha - \beta_1$  is a negative root and  $i\alpha - j\beta_k < \alpha - \beta_1$  if  $i\alpha - j\beta_k$  are roots. Here let  $a \in \mathfrak{a}$  ( $a \neq 0$ ) and  $V''$  be the subgroup generated by  $x_{-\gamma}(t)$  for all roots  $-\gamma < \alpha - \beta_1$  and  $t \in \mathfrak{v}_p$ . We have

$$[x_\alpha(a), v]x_{\alpha-\beta_1}(\varepsilon ab_1)y$$

where  $\varepsilon = \pm 1, \pm 2, \pm 3$  and  $y \in V''$ . Hence  ${}^v x_\alpha(a) \notin U(a)$  and so  ${}^x U(a) \not\subset U(a)$ .

N. B. Let  $R$  be local ring and assume that  $ch(k) \neq 2$  and if  $G$  is of type  $G_2$  then  $ch(k) \neq 3$ . Then observing the above proof of 3.2. Lemma, we can see that the normalizer of  $U(R)$  is  $B(R)$ .

3.3. LEMMA. *Under the hypotheses of Theorem B, let  $U_0$  be a subgroup of  $U(\mathfrak{o}_p)$  normalized by  $B(\mathfrak{o}_p)$  such that  $[U(\mathfrak{o}_p): U_0] < \infty$ . Then there exists a non-zero ideal  $A$  of  $\mathfrak{o}_p$  such that  $U(a) \subset U_0$*

PROOF. In K. Suzuki [3], we can see that there exists ideal  $\mathfrak{a}_\alpha$  of  $\mathfrak{o}_p$  for each positive root  $\alpha$  of  $\mathcal{O}$  such that

$$\begin{aligned} \mathfrak{a}_\alpha \mathfrak{a}_\beta &\subset \mathfrak{a}_{\alpha+\beta} && \text{for positive roots } \alpha, \beta, \alpha + \beta, \\ U_0 &= \prod_{\alpha \in \Phi} \mathfrak{a}_\alpha \end{aligned}$$

By  $[U(\mathfrak{o}_p): U_0] < \infty$  we see  $\mathfrak{a}_\alpha \neq 0$  for each positive root  $\alpha$ . Let  $\mathfrak{a}$  be the maximal ideal of  $\{\mathfrak{a}_\alpha\}_{\alpha \in \Phi^+}$ . Then  $\mathfrak{a}$  is non-zero and we have  $U(\mathfrak{a}) \subset U_0$ .

3.4. Here we shall prove the Theorem B. Let  $\sigma$  be an automorphism of  $G(\mathfrak{o}_p)$ ,  $\bar{K}_p$  the algebraic closure of  $K_p$ ,  $\overline{\sigma B(\mathfrak{o}_p)}$  the closure of  $\sigma B(\mathfrak{o}_p)$  in  $G(\bar{K}_p)$ ,  $(\overline{\sigma B(\mathfrak{o}_p)})_0$  the connected component of the unit element of  $\overline{\sigma B(\mathfrak{o}_p)}$ . Then  $(\overline{\sigma B(\mathfrak{o}_p)})_0$  is solvable and defined over  $K_p$ . Thus there exists an element  $g$  of  $G(K_p)$  such that  $g(\overline{\sigma B(\mathfrak{o}_p)})_0 g^{-1} \subset B(\bar{K}_p)$ . In [2], it is shown that  $g = st$  for some  $s \in B(K_p)$  and  $t \in G(\mathfrak{o}_p)$ . Now let  $B_0$  be the set of elements  $x$  of  $B(\mathfrak{o}_p)$  such that  $\sigma x \in (\overline{\sigma B(\mathfrak{o}_p)})_0$ . Denoting the commutator subgroup of  $B(\mathfrak{o}_p)$  by  $\mathfrak{D}B(\mathfrak{o}_p)$ , we have  $\mathfrak{D}B(\mathfrak{o}_p) = U(\mathfrak{o}_p)$  and  $t\sigma\mathfrak{D}B_0 t^{-1} \subset U(\mathfrak{o}_p)$ . Since the order of  $B(\mathfrak{o}_p)/B_0$  is finite, by 3.3 Lemma, there exists a non-zero ideal  $\mathfrak{a}$  of  $\mathfrak{o}_p$  such that  $\mathfrak{D}B_0 \supset U(\mathfrak{a})$ . Thus setting  $\sigma' = t\sigma$ , we have  $\sigma' U(\mathfrak{a}) \subset U(\mathfrak{a})$  since  $G(\mathfrak{o}_p, \mathfrak{a})$  is characteristic and  $\sigma' U(\mathfrak{a}) \subset G(\mathfrak{o}_p, \mathfrak{a}) \cap U(\mathfrak{o}_p) = U(\mathfrak{a})$ . For any non-zero ideal  $\mathfrak{b}$  contained in  $\mathfrak{a}$ ,  $U(\mathfrak{a}/\mathfrak{b})$  is finite, and so  $\sigma' U(\mathfrak{a}/\mathfrak{b}) = U(\mathfrak{a}/\mathfrak{b})$ . Since  $\lim_{\substack{\leftarrow \\ \mathfrak{b} \subset \mathfrak{a}}} U(\mathfrak{a}/\mathfrak{b}) = U(\mathfrak{a})$ , we have  $\sigma' U(\mathfrak{a}) = U(\mathfrak{a})$ . By 3.2 Lemma, it holds  $\sigma' B(\mathfrak{o}_p) = B(\mathfrak{o}_p)$ , hence  $\sigma' U(\mathfrak{o}_p) = U(\mathfrak{o}_p)$ . Therefore from Theorem A we have proved completely Theorem B.

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